

1 Sap flow in *Searsia pendulina* and *Searsia lancea* trees established on  
2 gold mining sites in central South Africa

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36 Abstract

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38 The Witwatersrand Basin Goldfields (WBG) have seen over a century of continuous mining that  
39 has generated extensive tailings storage facilities (TSF), together with “footprints” remaining  
40 after the residue has been removed for reprocessing or consolidation into larger TSFs. These are  
41 now believed to number several hundred and cover a total area of 400-500 km<sup>2</sup>. Acid mine  
42 drainage (AMD) from these structures is widespread and has resulted in contamination of soils,  
43 groundwater and surface water systems. Sustainable and long-term control measures are required  
44 to limit environmental contamination. The Mine Woodlands Project, initiated by the University  
45 of the Witwatersrand and AngloGold Ashanti Ltd, aims to investigate the use of trees for  
46 hydraulic control of mine seepage, as well as contaminant immobilization. A variety of exotic  
47 and indigenous tree species was planted in high density stands within site species trials located  
48 close to TSFs in the Orkney and Carltonville districts. The aim is to evaluate their survival and  
49 growth, as well as water use and contaminant uptake or immobilization.

50 This paper describes a study of the annual pattern of sap flow rates in two species of indigenous  
51 tree (*Searsia lancea* (L. F.) F.A. Barkley and *S. pendulina* (Jacq.) Moffett, comb. nov.)  
52 established in plantation form. These species occur naturally in central and western South Africa.  
53 Sap flow was monitored continuously over a full year in eight stems representing each species,  
54 using the heat ratio version of the heat pulse velocity technique. Plot sap flow was estimated by  
55 scaling up according to the number and size of stems, and utilizing functions relating leaf dry  
56 mass and leaf area to stem diameter. The deciduous species *S. pendulina* was found to use 591  
57 mm of water over a full growing season, while the evergreen species *S. lancea* was found to use

58 1 044 mm over a full year. Differences in sap flow patterns between these species are attributed  
59 largely to different leaf dynamics. We conclude that *S. lancea* has potential for the hydraulic  
60 control of mine seepage water in phytoremediation systems in the WBG.

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62 Keywords: Witwatersrand Basin Goldfields, acid mine drainage, phytoremediation, *Searsia*  
63 *lancea*, *Searsia pendulina*, sap flow, hydraulic control

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## 76 1. Introduction

77 The Witwatersrand Basin Goldfields (WBG) comprise an area of approximately 25 000 km<sup>2</sup> in  
78 north central South Africa. Gold deposits were discovered in 1886, and have been mined  
79 continuously since then, yielding approximately 50 000 tonnes of gold, equivalent to about 31%  
80 of all gold ever mined anywhere throughout history (McCarthy and Rubidge, 2005). Gold mines  
81 are located along the northern and western margins of this basin. Tailings are pumped in the  
82 form of a slurry and deposited on large unlined tailings storage facilities (TSF). These TSFs  
83 number several hundred (many are now being reprocessed and consolidated into fewer larger  
84 dumps) and total approximately 400 - 500 km<sup>2</sup> in area (Marsden, 1986; [Blight, 2011](#)). After more  
85 than a century of mining, they contained an estimated 6 billion tonnes of tailings (Blight, 2011),  
86 which was estimated to include 430 000 tonnes of low grade uranium (Winde et al., 2004) and  
87 30 million tonnes of sulphur (Witkowski and Weiersbye, 1998). Additional gold tailings are  
88 produced in the WBG at an estimated rate of 105 million tonnes per annum (Chamber of Mines  
89 of South Africa, 2004).

90 Many years of acid mine drainage (AMD) from the saturated core of gold tailings dams has  
91 resulted in artificially elevated groundwater levels and extensive contamination of soils, streams,  
92 sediments and groundwater (Rudd, 1973; Funke 1990; Coetzee 1995; Hodgson et al., 2001;  
93 Rösner et al., 2001; Naiker et al., 2003; Coetzee et al., 2004; Winde et al., 2004; Tutu, 2005;  
94 Sutton et al., 2006; Coetzee and Winde, 2006; Chevrel et al., 2008). Levels of contaminants  
95 sometimes exceed environmental standards (Coetzee and Venter, 2005; Coetzee and Winde,  
96 2006) and therefore pose a potential danger to humans, farm animals, and both crop and natural  
97 ecological systems. Public awareness of the pollution threat is growing as the media increasingly  
98 draw attention to pollution threats ([Earthlife Africa, 2011](#)). Many mines are nearing the end of

99 their lives, and closure planning is assuming more importance. A prerequisite of Government  
100 closure certificates is that sustainable and long term control measures are in place to limit  
101 environmental contamination. Engineering solutions are costly and unlikely at this stage to offer  
102 sustainable long term answers by themselves. Phytoremediation measures are far less costly  
103 (Weiersbye, 2007), and experience globally has shown that this approach may be effective and  
104 sustainable.

105 The Mine Woodlands Project (MWP) was initiated by the University of the Witwatersrand and  
106 AngloGold Ashanti Ltd in 2001, with additional funding and support from the South African  
107 Department of Trade and Industry (THRIP programme) and NRF, and in-kind support from the  
108 DWAF: Directorate of Participatory Forestry. The intention of **this phytoremediation research**  
109 **programme is to show the effectiveness of woodlands in taking up contaminants from soils and**  
110 **groundwater, and reducing or preventing the spread of mine drainage water from tailings dams,**  
111 **to provide a sustainable, low cost and long term solution to the pollution threat. As an example**  
112 **of the potential of trees to take up contaminants, research has shown that the hyper-accumulator**  
113 **species *Tamarix usneoides* can take up large quantities of salt which is exuded through salt**  
114 **glands and deposited on the leaf surface (Wilson and Mycock, 2014). Periodic harvesting of**  
115 **stems with their foliage will allow the contaminants to be disposed of at a safe site.**

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117 **The potential for deep rooted indigenous trees and shrubs to exert hydraulic control and**  
118 **minimize the lateral flux of contaminants in groundwater is the subject of this paper. It is a well-**  
119 **established technology (ITRC, 2009; Landmeyer, 2011) that relies on the abstraction of water**  
120 **and ions by the living plant, and the phytoimmobilization or sequestration of contaminants in the**

121 rhizosphere and biomass, respectively. Suitable species of trees planted at sufficiently high  
122 densities are able to increase the rate of evapotranspiration (ET), thereby reducing the water  
123 contents in soil and the volume of groundwater (Bari and Schofield, 1992; Schofield, 1992;  
124 Salama et al., 1994; Raper, 1998). Substantially higher rates of ET following establishment of  
125 trees is predicted for sites in the WBG. The original vegetation surrounding most TSFs is  
126 dominated by seasonally dormant, shallow rooted grasslands, which are known to be relatively  
127 low water users (Dye et al., 2008). By replacing grasslands with deep rooted trees with higher  
128 leaf areas and shorter or no seasonal dormancy, ET can be greatly increased. There is much  
129 evidence from South African hydrological catchment experiments (Scott, 2000) and from global  
130 reviews of such land use change (Bosch and Hewlett, 1982; Zhang et al., 1999; Farley et al.,  
131 2005) to show that ET will increase when grasslands are replaced by closed canopy tree species,  
132 especially where water availability is high (Zhang, 1999). Woodland establishment is expected  
133 therefore to reduce the flow of water and contaminants through shallow aquifers and saturated  
134 soil horizons into adjacent lands and surface drainage channels.

135 An important aim of the MWP has been to test the suitability of a range of exotic and indigenous  
136 tree species established in high density woodland plots at different site types. Fastest growth and  
137 canopy development is shown by several *Eucalyptus* species, but their use for phytoremediation  
138 is hampered by legislation and negative public opinion towards alien tree species. The use of  
139 indigenous tree species is therefore an important alternative that requires investigation. Little  
140 information is available on the water use characteristics of indigenous tree species in South  
141 Africa.

142 Two indigenous tree species belonging to the *Searsia* genus (Family Anacardiaceae; formerly in  
143 the genus *Rhus*,) have demonstrated good survival and growth. *S. lancea* (L.f.) F.A. Barkley

144 (Karee) is an evergreen, generally multi stemmed tree (Coates-Palgrave, 2002) that is widely  
145 planted in mining sites in the WBG. It occurs extensively throughout the relatively dry central  
146 areas of South Africa, but shows a preference for drainage lines and riverbanks. *S. pendulina*  
147 (Jacq.) Moffett is a semi deciduous to deciduous tree that occurs along riverbanks and wetlands  
148 in a narrow band that follows the Orange River from the Free State province to Namibia. This  
149 species (known as White Karee, Willow Karee, River Karee) is multi stemmed, and reaches 10  
150 m in height. Both species appear well able to tolerate a wide variety of mine sites (Weiersbye *et*  
151 *al.*, 2006; Weiersbye and Witkowski, 2007), and show tolerance to AMD polluted groundwater.  
152 A previous study of sap flow in three size classes of *S. lancea* (Dye *et al.*, 2008) suggested an  
153 annual water use rate that is intermediate between grasslands and *Eucalyptus* stands. However,  
154 the sample trees occurred in low density woodland. The relevance of the results to high density,  
155 closed canopy stands with higher levels of competition among the trees therefore requires  
156 investigation.

157 The purpose of this study was to quantify the total annual sap flow within high density stands of  
158 these two species in order to assess their potential for hydraulic control of mine seepage water on  
159 mining sites.

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## 161 2. Site and trial descriptions

162 Sap flow measurements took place within two site-species trials. The *S. pendulina* plot is situated  
163 within the Mispah site-species trial (26° 59' 20.91''S; 26° 46' 30.83''E) at Vaal River, while the  
164 *S. lancea* plot is situated in the Madala site-species trial (26° 25' 55.18''S; 27° 20' 05.03''E) at  
165 West Wits. These trial sites are described below.



166

167 2.1 Mispah trial (*S. pendulina*)

168 This trial is situated approximately 154 km southwest of Johannesburg (Figure 1). The climate of  
169 the region (Table 1) is warm temperate, and characterized by summer rainfall with high summer  
170 temperatures, and frequent winter frosts (Schultze, 1997; Mucina and Rutherford, 2006).

171 Although most of the vegetation growing in the Vaal River mining area is degraded grassland  
172 transformed by mining, the natural vegetation type is Vaal Reefs Dolomite Sinkhole Woodland  
173 (Gh12), a grassland biome vegetation subunit found in North West and Free State provinces  
174 within an altitudinal range of 1 280 to 1 380 metres above sea level (M.A.S.L.) (Mucina and  
175 Rutherford, 2006). This vegetation type supports a grassland woodland complex characterized by  
176 clumps of woodland that form on dolomite sinkholes. The dominant tree taxa include *S. lancea*,  
177 *Vachellia* (formerly *Acacia*) *erioloba* and *Celtis africana* (Mucina and Rutherford, 2006).

178 The soils at the Mispah trial are deep, red brown, well drained sandy clay loams to sandy loams  
179 (Hutton, Hayfield family) derived from highly weathered, largely chert free Malmani Formation  
180 dolomite (Herbert, 2003). The equivalent FAO classification is Rhodic Ferralsol. The effective  
181 rooting zone observed in deep soil pits is 3.5 to 4.0 m. This overlies weathered dolomite  
182 stretching to 10 m below ground (Vivier et al., 2004). Two boreholes (VRM51 and VRM58)  
183 situated close to the trial margins revealed a water table at 10.8 and 9.9 metres below ground  
184 level respectively in January 2007.

185 The Mispah site-species trial was established in January 2003. It includes 18 tree species, of  
186 which 10 are indigenous. Sixty three seedlings were planted in each plot in a 7 by 9 block, and at  
187 a spacing of 3 by 2.5 m (1 333 stems per hectare). Blanking occurred to replace those trees not

188 surviving the first growing season. Four sample trees were selected within plot 21 based on their  
189 healthy growth, absence of fire damage scars and uniformity of canopy cover in adjacent trees.

## 190 2.2 **Madala trial** (*S. lancea*)

191 The Madala site-species trial at West Wits is situated approximately 75 km WSW of  
192 Johannesburg and 7 km south of the town of Carltonville (Figure 1). Salient climatic parameters  
193 at this site are shown in Table 1. The natural vegetation in the area is classified as Gauteng Shale  
194 **Mountain Bushveld** (SVcb10, Mucina and Rutherford, 2006), a savanna biome vegetation  
195 subunit found in the Gauteng and North West provinces within an altitudinal range of 1 300 to 1  
196 750 M.A.S.L. It is typically characterized by short (3-6 m tall) semi open thickets comprising a  
197 variety of tree species surrounded by grasslands.

198 The trial site is situated within the Varkenslaagte catchment, and is heavily influenced by several  
199 up slope TSFs that cause a strong flow of AMD to move laterally through the soils and sub soils  
200 to **emerge** at the drainage channel. This water is characterized by low pH, elevated  
201 concentrations of sulphates, sodium, chlorides, iron, manganese and cadmium (Vivier et al.,  
202 2001). A brown, sandy clay soil (0-1 m) is underlain by weathered, fractured shale that extends  
203 to approximately 8-10 m below the surface (Vivier et al., 2004). This material is relatively soft  
204 and could potentially be penetrated by tree roots. The hydraulic conductivity of the soil and  
205 deeper weathered shale zone is **reported to be** 0.25 and 0.1 m d<sup>-1</sup>, respectively. Deeper fracture  
206 zones are more permeable and directly linked with tailings dam seepage, creating artesian  
207 conditions in several of the boreholes in the area. Total lateral flow over a 1 m front and to a  
208 depth of 8 m is estimated as 0.016 m<sup>3</sup> d<sup>-1</sup> (Vivier et al., 2004).

209 The trial was established in January 2003 and comprises 80 plots. Each was planted to one of 18  
210 different tree species, 10 of which are indigenous. Again, 63 trees were planted per plot at a  
211 spacing of 3 by 2.5 m (1 333 stems per hectare). Four *S. lancea* sample trees were selected from  
212 plot 28, using the same criteria adopted in the *S. pendulina* plot. Potted *S. lancea* were  
213 transplanted into plots in January 2003. The *S. lancea* were all propagated from genotypes  
214 originating from Upington, Northern Cape, South Africa (Herbert, 2003). A large proportion of  
215 the plot was subsequently damaged by fire. The sample trees were selected from a portion of the  
216 plot where these trees and a surrounding row of buffer trees escaped serious damage.

217

### 218 3. Materials and methods

219

#### 220 3.1 Sap flow measurements

221 Four adjacent trees forming a square were selected for sampling near the centre of each plot. In  
222 each tree, two healthy stems with the largest stem diameters were chosen for sap flow  
223 measurement. In the *S. pendulina* plot at the **Mispah trial**, probes were implanted on 20 October  
224 2008 when the trees were aged 5 years and 10 months. Sap flow data were analyzed for a  
225 complete year from 21 October 2008 to 20 October 2009. In the *S. lancea* plot at **the Madala**  
226 **trial**, the same stem sampling and probe implantation procedure was adopted. Probes were  
227 implanted on 12 March 2009. Sap flow data were recorded from 13 March 2009 to 12 March  
228 2010. The age of the trees at the start of measurements was 6 years and 3 months.

229 The heat ratio version of the heat pulse velocity (HPV) technique (Burgess et al., 2001) was used  
230 to record hourly sap flow rates in the eight sample stems at each site. Each set of probes  
231 consisted of two thermocouple (TC) probes and a line heater probe. Two sets of probes were  
232 implanted in each sample stem. These were implanted radially into the sapwood at two different  
233 heights, orientated approximately  $90^{\circ}$  from each other. The line heaters were made from 1.8 mm  
234 outside diameter stainless steel tubing, enclosing a constantan filament. TC probes were situated  
235 parallel to, and 5 mm above and below the line heater. These probes (consisting of type T copper  
236 constantan thermocouples embedded in 2 mm outside diameter PTFE tubing) were inserted into  
237 the upper and lower holes to depths of 8 or 15 mm below the stem surface (5 and 12 mm below  
238 the bark cambium interface), to sample the radial variation in sap flux density. All drilling was  
239 performed with the drill bit projecting through a 30 mm thick steel drill guide firmly strapped to  
240 the tree, to ensure that the holes were as close to parallel as possible. Mean bark thickness was  
241 found to be 3 mm.

242 All probes were connected to CR10X data loggers via AM16/32 multiplexers (Campbell  
243 Scientific, Logan, UT). The logger was programmed to initiate a heat pulse every hour, and to  
244 record pre pulse and post pulse temperatures (Burgess et al., 2001). HPV was calculated using  
245 the heat ratio method (Burgess et al., 2001). These readings were corrected for wound widths (a  
246 mean wound width of 3 mm was assumed, based on visual examination of stem wounds).

247 Wound correction coefficients described by Swanson and Whitfield (1981) were used for this  
248 correction. Wound corrected HPV was converted to sap flux density (Marshall, 1958), using  
249 sapwood density and sapwood moisture fractions recorded for each species. From these sap flux  
250 densities, whole tree sap flow was calculated by **summing the mean stem sap flux density**  
251 **multiplied by the sapwood area of each sapwood zone.** Based on visual examination of stem

252 cross sections, sapwood thickness was assumed to be a constant 2 cm. A constant sapwood area  
253 was assumed over the 12 month monitoring periods, since radial stem growth was observed to be  
254 low.

255 In certain species, the heat ratio version of the HPV technique is susceptible to “spiking” during  
256 periods of high sap flow, especially between mid morning and mid afternoon. This phenomenon  
257 was observed in both species. A Gaussian smoothing function (Data Curve Fit Creator) was used  
258 to reduce the influence of these spikes. This function was run on data recorded from 05h00 to  
259 19h00 each day. A sigma value (standard deviation) of 2 was specified throughout. Extensive  
260 testing of the smoothed outputs showed them to successfully preserve the trend in daily pattern  
261 of sap flow under a wide variety of weather conditions and times of year. A high degree of  
262 consistency in these patterns was retained among all the stems following the smoothing process.  
263 Periods of missing data were in filled by calculating daily reference evaporation (Allen et al.,  
264 1998) for the whole year, and using “crop coefficients” calculated at the start and end of gaps to  
265 estimate daily whole plot sap flow. Daily weather data from Klerksdorp and Carltonville were  
266 obtained from the South African Weather Service for the reference evaporation calculations.  
267 Solar radiation and wind speed were recorded at an automatic weather station (26° 57' 46.68” S;  
268 26° 42' 56.89” E) situated 6.5 km from the Vaal River Mispah trial.

269

### 270 3.2 Scaling up to the whole plot

271 Only two sample stems were chosen from each tree, and these had to be the larger ones to  
272 accommodate the HPV probes. To estimate the total sap flow from each plot, the contribution of  
273 the smaller unsampled stem size classes had to be estimated. This was accomplished by scaling

274 down from the larger sampled stems to the smaller unsampled stems according to their  
275 differences in leaf mass. This assumes that differences in leaf mass and area are the main  
276 determinants of variation in total sap flow in even aged stems of different sizes growing under  
277 the same conditions (Wullschleger et al., 1998; Čermák et al., 2004). This assumption was made  
278 in light of the relatively open canopy structure of both species, which allows most of the stems of  
279 these young trees to expose their foliage to a similar degree within the tree canopy. Large  
280 differences in ambient light, humidity and temperature are therefore not expected to occur among  
281 leaves associated with different stem sizes.

282 The relation between stem diameter at 30 cm above ground and dry leaf mass was obtained in a  
283 separate destructive study of allometric relationships in plots of *S. pendulina* and *S. lancea* in the  
284 Mispah trial (Crichton, 2010). This study took place from 24 to 27 March 2010 before leaf  
285 senescence became pronounced in *S. pendulina*. Stem diameter at 30 cm proved to be highly  
286 correlated to dry leaf mass in both species.

287 In each sap flow plot, all stem diameters at 30 cm were recorded within a sub plot that included  
288 all stems showing minimal fire scars. The *S. pendulina* sub-plot included 20 trees and 148 stems  
289 in an area of 150 m<sup>2</sup>, while the *S. lancea* sub-plot included only the four HPV sample trees  
290 comprising 29 stems in an area of 30 m<sup>2</sup>. Stems in each sub-plot were divided into five size  
291 classes. Mean sap flow in each class was estimated in one of two ways. If sap flow  
292 measurements were available for one or more stems falling in the size class, then the mean sap  
293 flow measurement was applied to that class. If no sap flow measurements were available for  
294 stems in the size class, then sap flow was estimated as a fraction of the mean sap flow rate in the  
295 class represented by the highest number of sap flow stems. This fraction was determined by the  
296 ratio of the total dry leaf mass between the two size classes.

297 Measurement of specific leaf area (projected leaf area per unit of dry mass) for each species was  
298 described by Crichton (2010). Sub-samples of fresh leaves were obtained from each of ten  
299 sampled trees per species, sealed in plastic bags and refrigerated whilst transported to the  
300 laboratory. The total area of each subsample was measured using a portable leaf area meter (CI-  
301 202 Portable Leaf Area Meter, CID Inc., 4901 NW Camas Meadows Drive, Camas, WA 98607,  
302 USA). Subsamples were then oven dried at 60°C until constant mass.

303

### 304 3.3 Weather data

305 Daily weather data were required to calculate reference ET to aid in the interpretation of daily  
306 variation in sap flows, and also to provide a basis for patching data gaps in the *S. lancea* data  
307 record. Mean day time temperatures, relative humidity and wind speed, and daily total rainfall  
308 were obtained from the South African Weather Service. Data used for the **Mispah trial** site was  
309 taken from a weather station in Klerksdorp (0436204 1) situated 18.4 km away. Data used for the  
310 **Madala trial** site was recorded at a station (0474680 9) in the town of Carltonville, which is  
311 situated 11.3 km away. Solar radiation was recorded at an automatic weather station (26° 57'  
312 46.68" S; 26° 42' 56.89" E) situated 6.5 km from the Mispah trial site at Vaal River.

313

## 314 4 Results

### 315 4.1 *Searsia pendulina*

316 Table 2 shows stem diameters, sapwood areas, leaf dry mass, specific leaf area and leaf area for  
317 each stem used for sap flow measurement. Figure 2 illustrates the relation found for this species

318 between stem diameter at 30 cm and dry leaf mass. Table 3 shows the five stem diameter classes  
319 and the number of stems in each class used for sap flow measurement. Dry leaf mass is  
320 estimated for each mean stem size class, and this is expressed as a fraction of the class with the  
321 most measured stems. Moving to the sub plot scale, the total leaf mass was estimated by scaling  
322 the mean tree leaf mass in each size class by the number of stems in that size class. The total leaf  
323 area in each size class was then estimated from the specific leaf area ( $8.9 \text{ m}^2 \text{ kg}^{-1}$ ) determined for  
324 the species (Crichton, 2010). Total leaf area index (1.69) was calculated by dividing the total  
325 estimated sub plot leaf area by the ground area.

326 Figure 3 shows daily estimates of sap flow for the entire *S. pendulina* sub-plot. Daily sap flow is  
327 relatively low in early October when new leaves have just emerged, but have yet to expand to  
328 their full size. Daily sap flow increases rapidly to peak at the end of December when leaves are  
329 fully formed and maximum day length occurs. Thereafter, daily flow rates decline steadily  
330 during the second half of summer and into autumn and early winter. Leaf drop is not significant  
331 until April. The earlier decline is attributed to reduced day lengths, but also to significant leaf  
332 spotting and predation by insects which reduce the efficiency of leaf transpiration. Daily sap  
333 flow frequently declines during periods of rainfall when lower temperatures and solar radiation,  
334 and higher humidity reduce the evaporative power of the air (Allen et al., 1998). Daily variation  
335 declines into autumn and winter as days become sunny and dry. The dry Spring of 2009  
336 illustrates how sap flow remains low at this time of year until rainfall occurs to initiate further  
337 canopy development and higher rates of sap flow. Total sap flow over the whole growing season  
338 was estimated to be 591 mm. Rainfall over the same period amounted to 715 mm.

339



340 4.2 *Searsia lancea*

341 Table 4 shows stem diameters, sapwood areas, leaf dry mass, specific leaf area and leaf area for  
342 each *S. lancea* stem used for sap flow measurement. Stem 4 yielded poor quality HPV data and  
343 so had to be omitted from the analysis. Table 5 shows the five stem size classes defined to cover  
344 the range of stem diameters observed in the *S. lancea* plot. Dry leaf mass per mean stem size was  
345 estimated from the stem diameter at 30 cm, using the relation shown in Figure 4. Total leaf area  
346 per mean stem was calculated using the specific leaf area of  $8.7 \text{ m}^2 \text{ kg}^{-1}$  recorded for this species  
347 (Crichton, 2010). These were scaled up to the sub plot, taking into account the total number of  
348 stems in each size class. Leaf area index was estimated to be 3.57.

349 Figure 5 shows the annual pattern of daily sap flow for *S. lancea*. A major difference to the *S.*  
350 *pendulina* pattern of daily sap flow is the continued sap flow during winter. Winter rates are  
351 lower than summer rates, reflecting shorter day lengths and lower potential evaporation, but  
352 nevertheless average about 2 mm per day. This is a primary reason for the higher *S. lancea*  
353 annual sap flow total (1 044 mm) than for the deciduous *S. pendulina* (591 mm). Daily sap flow  
354 is again responsive to periods of wet and overcast weather, and closely tracks  $ET_0$ . In contrast to  
355 *S. pendulina*, highest daily sap flow occurred in the second half of summer. During a site visit in  
356 late September, it was observed that leaves were noticeably yellow, spotty and significantly  
357 damaged by insects. Replacement of these leaves by a new flush of leaves is believed to explain  
358 the lower sap flow rates at this time of year. Rainfall over the sap flow monitoring period  
359 equalled 772 mm. Transpiration thus exceeded rainfall by 272 mm. This suggests significant  
360 utilization of subsurface mine water originating from up-slope tailings dams and passing through  
361 the site.

362

## 363 5 Discussion

364 The evergreen *S. lancea* was clearly capable of a higher annual sap flow than the deciduous *S.*  
365 *pendulina*, largely due to being able to maintain green leaves and transpiration throughout the  
366 year. The additional evaporative losses from wet tree canopies, understory plants and soil surface  
367 will add to the annual ET from both plots, but are not expected to greatly change the annual  
368 evapotranspiration difference between these two species. A higher LAI in the *S. lancea* plot in  
369 summer is likely to lead to higher canopy rainfall interception, but this may be partially  
370 compensated by a higher rate of evaporation from soil and understory plants beneath the *S.*  
371 *pendulina* canopy with lower LAI. The significance of these evaporative processes to total  
372 evapotranspiration is limited by the fact that rainfall generally falls in relatively large and  
373 infrequent storms, reducing the number of wetting up events, and the proportion of rainfall lost  
374 to direct evaporation.

375 It is important to emphasize that the two sites were very different. The Madala site at West Wits  
376 is characterized by relatively shallow water tables and strong summer time lateral flow of mine  
377 water. Water availability to the trees **is believed to remain relatively high throughout the year,**  
378 **promoting significant sap flow** over all seasons. The Mispah site at Vaal River has groundwater  
379 at 10 m below the surface, which is unlikely to be available to young trees. However, the *S.*  
380 *pendulina* at this site is strongly deciduous, and much of the annual transpiration coincides with  
381 summer rains, and so we believe that annual sap flow is more constrained by leaf area (a low  
382 LAI and a short period of maximum leaf area) than by soil water availability. The sap flow  
383 results showed that poor rains in spring of 2009 delayed the build up of leaf area (Figure 3). It is

384 therefore likely that good rains well distributed over the growing season will result in a  
385 somewhat higher annual sap flow in this species. Overall, however, annual sap flow remains  
386 severely constrained by the short period of optimal leaf area in mid summer and the leafless state  
387 of the trees in winter.

388 Results suggest that *S. pendulina* has little potential for hydraulic control of mine seepage,  
389 despite its growth rate being amongst the highest of the indigenous tree species occurring in the  
390 trials. By contrast, *S. lancea* appears to be a relatively high water user and is potentially useful  
391 for the management of excess mine water. The annual total sap flow of 1 044 mm is similar to an  
392 earlier estimate of annual sap flow (mean of 1 096 mm) obtained in widely spaced trees of this  
393 species over a 2 m deep water table within a natural woodland at Vaal River (Dye et al., 2008).  
394 These figures are substantially higher than grassland ET estimated for the area (566 mm),  
395 although somewhat below the rotation mean ET of 1 270 mm which was simulated for a  
396 *Eucalyptus camaldulensis* stand in a similar environment (Dye, 2002). Deep soil pits in the  
397 Mispah trial plots at Vaal River have shown that *S. lancea* is capable of developing a deep root  
398 system below 3 m from the surface, a very useful attribute in trees established to utilize  
399 groundwater. The relatively young stand investigated in this study was found to have an LAI of  
400 3.57. Older trees are characterized by well developed dense canopies, and appear to tolerate  
401 competition from neighbouring trees in dense stands. A higher peak LAI is therefore likely to  
402 develop in time as the trees continue to grow and mature, and a higher annual water use may be  
403 attained in older stands. Thus, further measurements of water use by older trees are required to  
404 gain better perspective of the water use pattern over the whole growth cycle of these species.  
405 Such information will permit calculation of the approximate area of woodland required to match  
406 estimated seepage rates from TSFs. For example, one can assume (for non-riparian sites) that the

407 difference between annual sap flow and annual rainfall represents the amount of groundwater  
408 utilized. In the *S. lancea* plot, this amounts to 272 mm y<sup>-1</sup>, or 2 720 m<sup>3</sup> ha<sup>-1</sup> y<sup>-1</sup>. Considering a  
409 hypothetical example of a 150 ha TSF releasing 170 000 m<sup>3</sup> y<sup>-1</sup> of seepage water, the required  
410 woodland area would be 63 ha. Trees would need to be established over contaminant plumes  
411 where tree roots can readily access water tables.

412 The heat balance method of measuring sap flows was found to be practical for monitoring  
413 transpiration in *S. pendulina* and *S. lancea* grown in small plots. Stem probes could only be  
414 implanted in the larger stems, and so the range in size of measurable stems was limited.  
415 Sufficient equipment was available for eight probe sets per species. A sampling intensity of 5-12  
416 sap flow measurements is common in sap flow studies within homogeneous, monospecific  
417 stands of even aged trees and regular spacing (Granier, 1987; Olbrich et al., 1993; Vertessy et al.,  
418 1997; Dye et al., 2001). As the *Searsia* trees approach maturity, however, one can expect a  
419 higher proportion of larger, measurable stems as well as greater competition among trees,  
420 leading to a wider range of measurable stem size, and greater variation in such environmental  
421 constraints such as canopy exposure to sun, tree water status and leaf area. Future studies should  
422 follow guidelines by Čermák et al. (2004) and Hatton (1995) in deciding on an adequate sample  
423 size of stems.

424 Attention also needs to be paid to the question of measurement scale in follow on studies. There  
425 is great variation in soil type, depth to contaminant groundwater plumes, groundwater quality,  
426 distance from contaminant sources, stand age and canopy structural characteristics, ground  
427 disturbance related to mining, rainfall distribution, salt accumulation and many other factors  
428 potentially affecting tree water use. A technique is required that will provide the larger scale  
429 picture and permit routine monitoring of water use over long tree maturation cycles. Larger tree

430 blocks have been planted (and are also planned for the near future) at Vaal River and West Wits,  
431 and these will permit the use of remote sensing techniques such as SEBS, METRIC and SEBAL  
432 to quantify ET over multi-year time periods. Long term ET estimation must be linked to trends in  
433 depth to groundwater as recorded in extensive networks of boreholes at Vaal River and West  
434 Wits to demonstrate the degree to which hydraulic control can be achieved by planting trees.

435

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445

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613 **Captions to Figures**

614

- 615 Figure 1. The location of West Wits and Vaal River **trial sites**.
- 616 Figure 2. The relation between stem diameter at 30 cm above ground level, and the dry  
617 mass of leaves, for *S. pendulina* (Crichton, 2010).
- 618 Figure 3. Daily whole plot sap flow,  $ET_0$  and rainfall recorded at the Vaal River *S.*  
619 *pendulina* site.
- 620 Figure 4. The relation between stem diameter at 30 cm above ground level, and the dry  
621 mass of leaves, for *S. lancea* (Crichton, 2010).
- 622 Figure 5. Daily whole plot sap flow,  $ET_0$  and rainfall recorded at the West Wits *S. lancea*  
623 site.

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Table 1. Climate at West Wits (*S. lancea* plot) and Vaal River (*S. pendulina* plot), showing geographical coordinates, altitude, mean annual precipitation (MAP), mean annual reference evaporation (ETo), mean annual temperature (MAT), number of frost days per year and mean annual wind speed (*u*).

District	Latitude	Longitude	Altitude (m.a.s.l.)	MAP (mm)	<sup>1</sup> ETo (mm)	<sup>1</sup> MAT (°C)	<sup>2</sup> Frost days (no. y <sup>-1</sup> )	<sup>3</sup> <i>u</i> (m s <sup>-1</sup> )
West Wits	26° 25' 55.18" S	27° 20' 05.03" E	1 637	704	1 273	15.6	33	<sup>3a</sup> 2.94
Vaal River	26° 59' 20.91" S	26° 46' 30.83" E	1 320	646	1 330	16.8	34	<sup>3b</sup> 2.51

636 <sup>1</sup>Schulze (1997); <sup>2</sup>Mucina and Rutherford (2006); <sup>3</sup> Mean annual wind speed at Potchefstroom <sup>(3a)</sup> and Klerksdorp <sup>(3b)</sup>, 2001-2009.

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642 Table 2. Stem diameter, sapwood area, dry leaf mass, **specific leaf area** and leaf area calculated for *S. pendulina* stems used for sap  
 643 flow measurements. **Measured annual sap flow per stem is also shown.**

	Tree 1		Tree 2		Tree 3		Tree 4	
	Stem 1	Stem 2	Stem 3	Stem 4	Stem 5	Stem 6	Stem 7	Stem 8
Stem diameter Mar 2009 (cm)	<sup>a</sup> 5.9	5.2	6.0	5.1	6.5	7.5	5.4	6.2
Sapwood area (cm <sup>2</sup> )	20.9	16.3	21.1	15.7	24.7	30.9	17.9	22.9
Estimated dry leaf mass (kg)	<sup>b</sup> 0.264	0.204	0.273	0.196	0.321	0.428	0.220	0.291
Specific leaf area (m <sup>2</sup> kg <sup>-1</sup> )	<sup>c</sup> 8.9							
Estimated leaf area (m <sup>2</sup> )	<sup>d</sup> 2.345	1.817	2.426	1.747	2.852	3.809	1.961	2.593
Measured sap flow (l y <sup>-1</sup> )	510.3	683.4	1154.4	624.5	754.1	1404.8	325.1	720.1

644 **b=0.0073\*a<sup>2.0205</sup>; d=b\*c**

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651 Table 3. Estimation of total sub plot leaf dry mass, leaf area, leaf area index and estimated annual sap flow volumes for the *S.*  
 652 *pendulina* plot at Vaal River.

Stem diameter classes (cm)	0.5 – 2.5	2.6 – 4.5	4.6 – 6.5	6.6 – 8.5	8.6 – 10.5
Mid-point (cm)	<sup>a</sup> 1.5	3.5	5.5	7.5	9.5
No. of stems in size class used for sap flow measurement	0	0	7	1	0
Dry leaf mass per mean stem (kg)	<sup>b</sup> 0.017	0.092	<sup>c</sup> 0.229	0.428	0.690
Dry leaf fraction of mean class	<sup>d</sup> 0.074	0.402	1	1.869	3.013
Estimated annual sap flow in mean stem (l)	<sup>e</sup> 50.4	273.8	<sup>f</sup> 681.1	1402.8	2052.1
No. of stems in sub plot falling in each stem size class	<sup>g</sup> 26	46	45	30	1
Annual sap flow per stem size class (l)	<sup>h</sup> 1310.4	12594.8	30649.5	42084.0	2052.1
Total annual sap flow in sub-plot (mm)			<sup>i</sup> 591.3		
Total dry leaf mass in each class (kg)	<sup>j</sup> 0.442	4.232	10.305	12.840	0.690
Total leaf area in each class (m <sup>2</sup> )	<sup>k</sup> 3.934	37.665	91.715	114.276	6.141
Total leaf area in sub plot (m <sup>2</sup> )			<sup>l</sup> 253.731		
Area of sub plot (m <sup>2</sup> )			<sup>m</sup> 150		
LAI			<sup>n</sup> 1.69		

653 Sapwood depth = 2 cm; Wood density = 0.5 g cc<sup>-3</sup>; Moisture fraction = 1.16; Wound width = 0.3 cm; SLA= 8.9 m<sup>2</sup> kg<sup>-1</sup>; **b=0.0073\*a<sup>2.0205</sup>**; **d=b/c**; **e=d\*f**; **h=**  
 654 **mean of probe data or estimated from leaf mass fraction of mean class**; **i=∑all annual sap flows/m**; **j=b\*g**; **k=j\*SLA**; **n=l/m**

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656 Table 4. Stem diameter, sapwood area, dry leaf mass, **specific leaf area** and leaf area calculated for *S. lancea* stems used for sap flow  
 657 measurements. **Measured annual sap flow per stem is also shown.**

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	Tree 1		Tree 2		Tree 3		Tree 4	
	Stem 1	Stem 2	Stem 3	Stem 4	Stem 5	Stem 6	Stem 7	Stem 8
Stem diameter Sep 2009 (cm)	<sup>a</sup> 7.6	8.8	5.6		6.8	5.7	5.5	7.8
Sapwood area (cm <sup>2</sup> )	31.4	39.0	18.8		26.4	19.5	18.2	32.7
Estimated dry leaf mass (kg)	<sup>b</sup> 0.759	1.053	0.384		0.592	0.399	0.369	0.804
Specific leaf area (m <sup>2</sup> kg <sup>-1</sup> )					<sup>c</sup> 8.7			
Estimated leaf area (m <sup>2</sup> )	<sup>d</sup> 6.603	9.160	3.339		5.151	3.474	3.208	6.997
Measured sap flow (l y <sup>-1</sup> )	711.5	3052.5	994.4		1432.2	1212.9	1733.2	1578.4

659 **b = 0.0082\*a<sup>2.2325</sup>; d=b\*c**

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661 Table 5. Estimation of total sub plot leaf dry mass, leaf area, leaf area index and estimated annual sap flow volumes for the *S.*  
 662 *lancea* plot at West Wits.

Stem diameter classes (cm)	0.5 – 2.5	2.6 – 4.5	4.6 – 6.5	6.6 – 8.5	8.6 – 10.5
Mid-point (cm)	<sup>a</sup> 1.5	3.5	5.5	7.5	9.5
No. of stems in size class used for sap flow measurement	0	0	3	3	1
Dry leaf mass per mean tree (kg)	<sup>b</sup> 0.020	0.134	<sup>c</sup> 0.369	0.737	1.249
Dry leaf fraction of mean class (kg)	<sup>d</sup> 0.054	0.363	1	1.997	3.385
Estimated annual sap flow in mean stem (l)	<sup>e</sup> 70.9	476.8	<sup>f</sup> 1313.5	1240.7	3052.5
No. of stems in sub plot falling in each stem size class	<sup>g</sup> 1	8	11	8	1
Annual sap flow per stem size class (l)	<sup>h</sup> 70.9	3814.4	14448.5	9925.6	3052.5
Total annual sap flow in sub-plot (mm)			<sup>i</sup> 1043.7		
Total dry leaf mass in each class (kg)	<sup>j</sup> 0.020	1.075	4.056	5.895	1.249
Total leaf area in each class (m <sup>2</sup> )	<sup>k</sup> 0.176	9.355	35.285	51.286	10.867
Total leaf area in sub plot (m <sup>2</sup> )			<sup>l</sup> 106.969		
Area of sub plot (m <sup>2</sup> )			<sup>m</sup> 30.00		
LAI			<sup>n</sup> 3.57		

663 Sapwood depth = 2 cm; Wood density = 0.65 g cc<sup>-3</sup>; Moisture fraction = 0.79; Wound width = 0.3 cm. SLA = 8.7 m<sup>2</sup> kg<sup>-1</sup>; **b= 0.0082\*a^2.2325; d=b/c; e=d\*f;**  
 664 **h=mean of probe data or estimated from leaf mass fraction of mean class; i=∑all annual sap flow/m; j=b\*g; k=j\*SLA; n=l/m**

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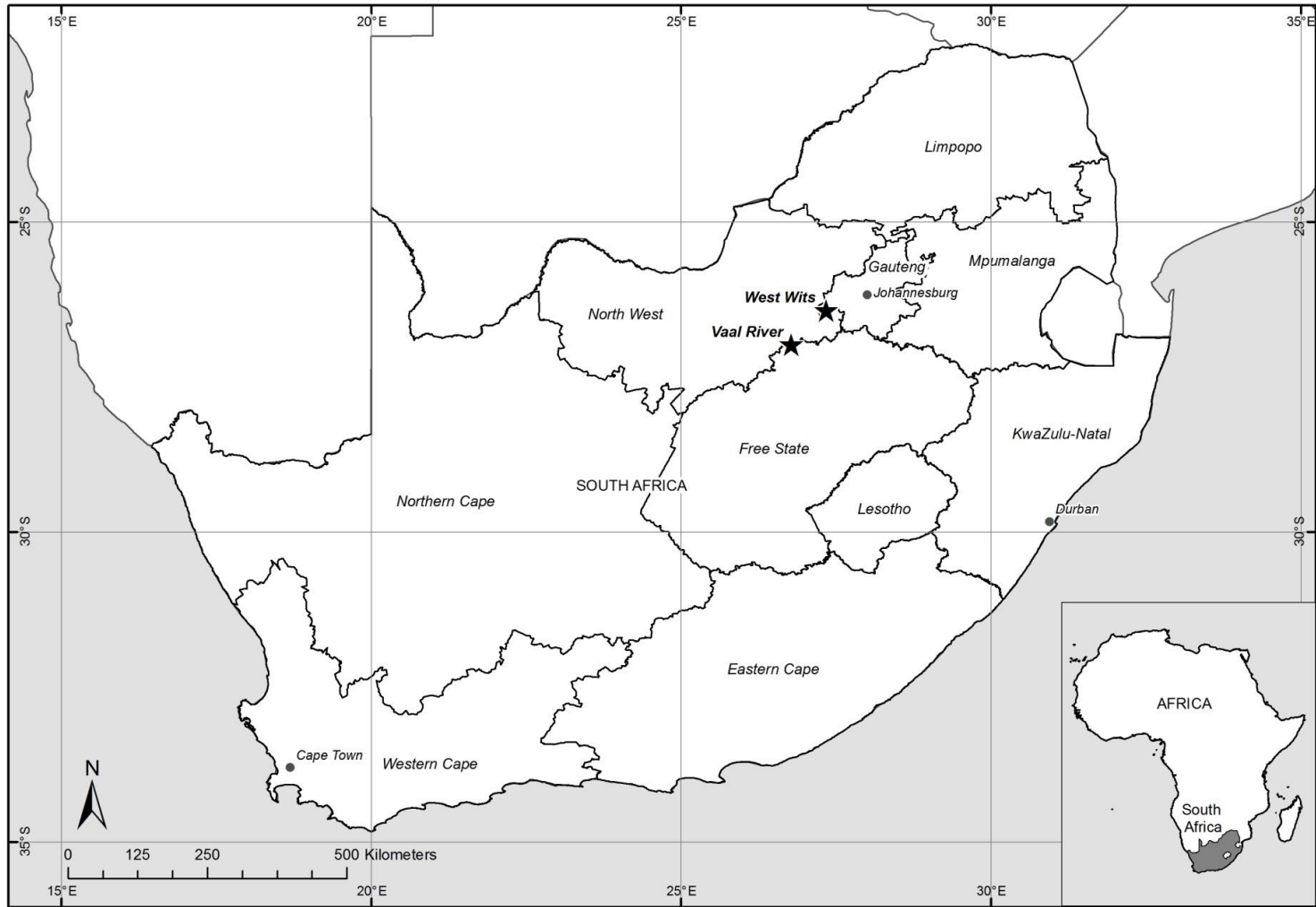
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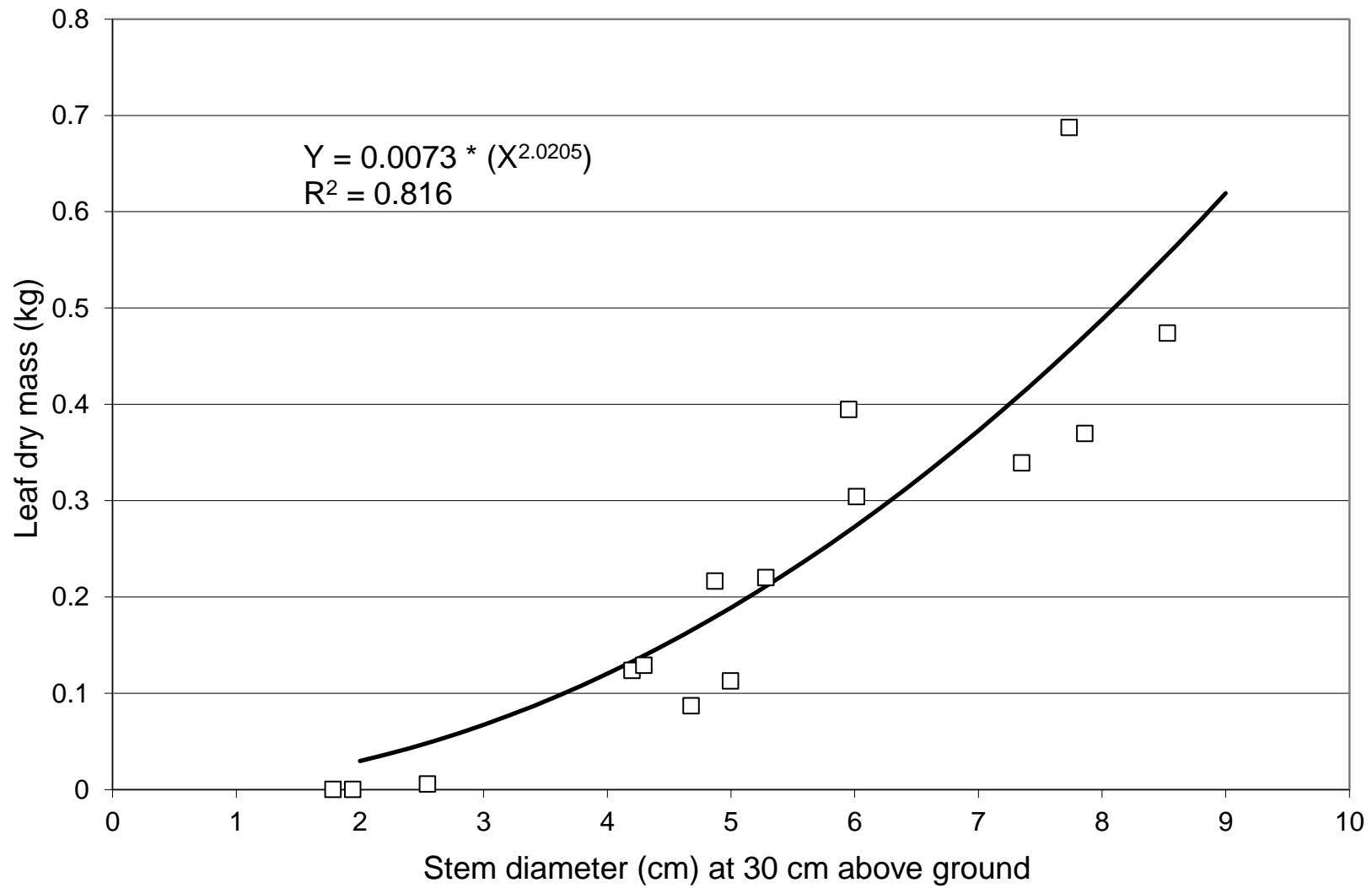
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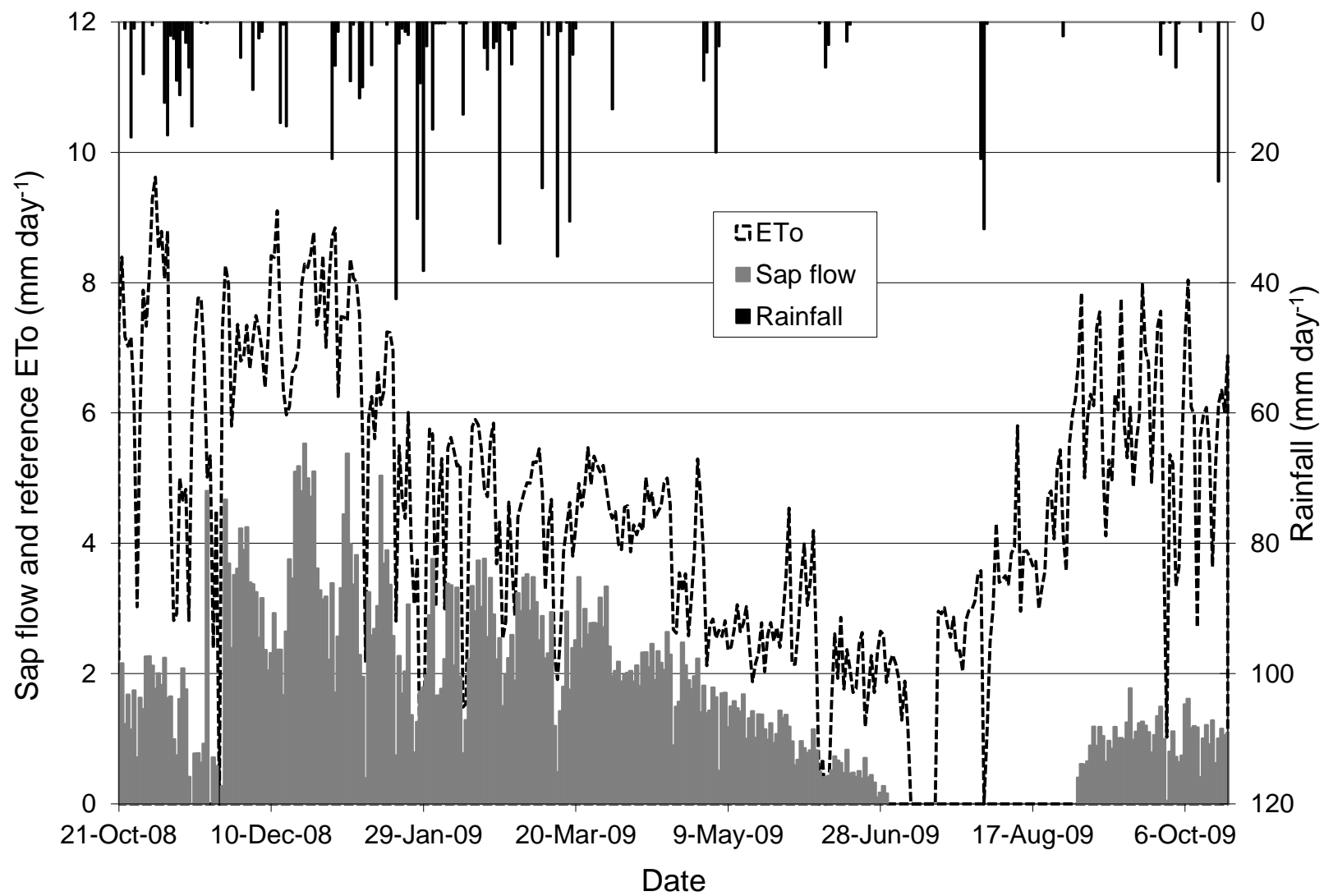


672 Figure 1



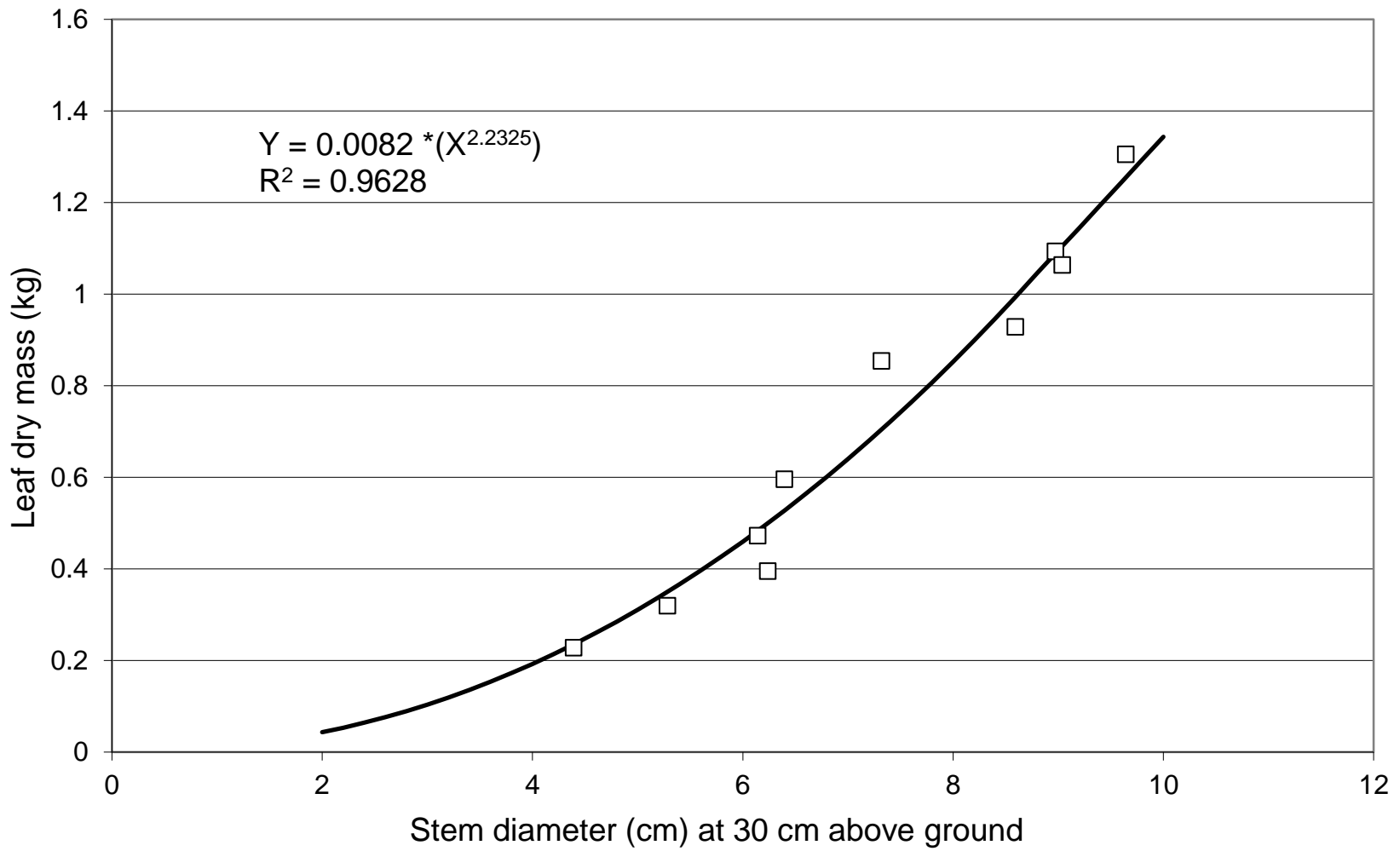
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674 Figure 2



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676 Figure 3

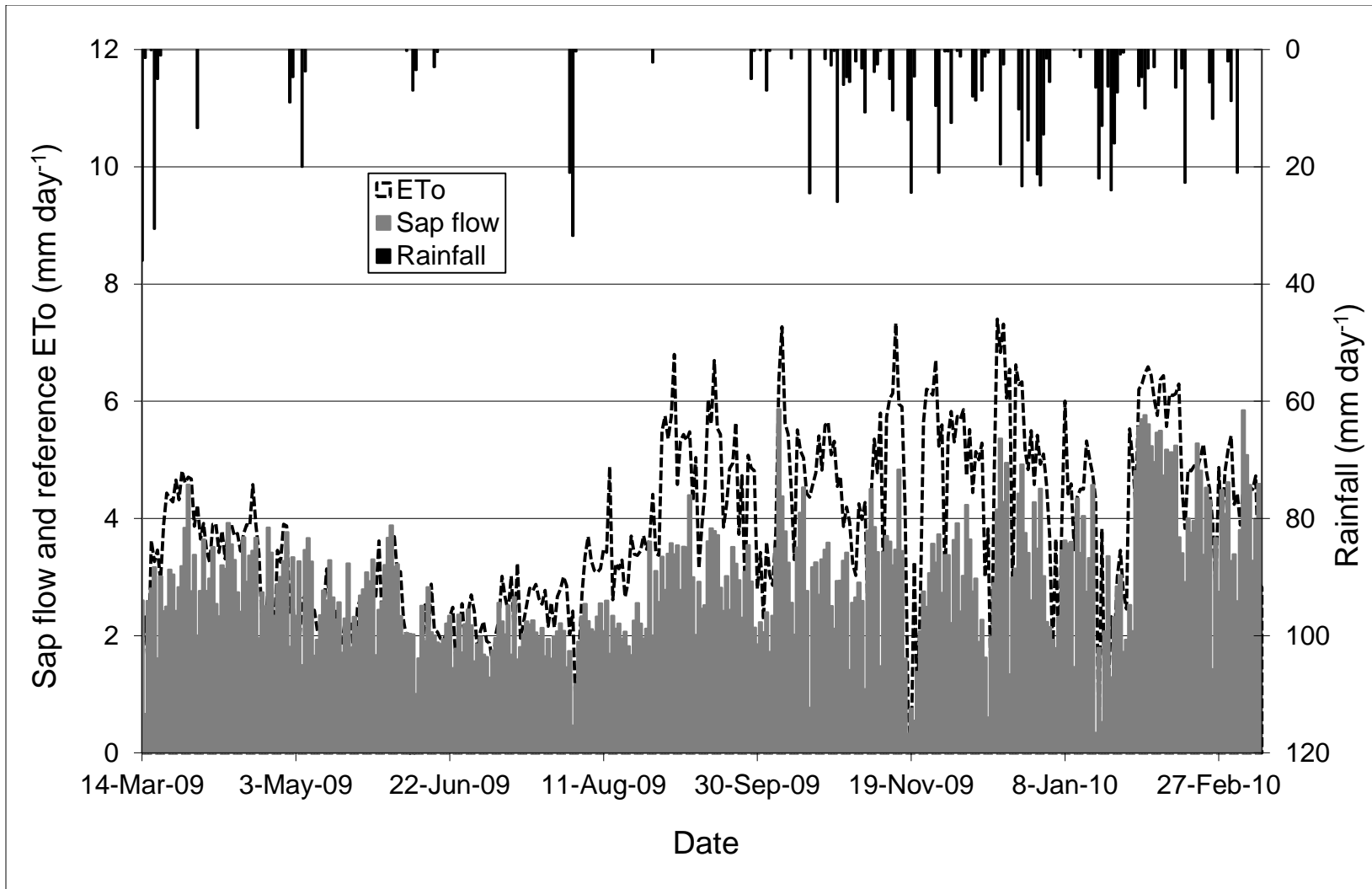


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678 Figure 4

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681 Figure 5