



Isolation, selection and evaluation of *Bacillus* spp. as potential multi-mode probiotics for poultry

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Complete List of Authors:	Ramlucken, Uraisha; Council for Scientific and Industrial Research, Biosciences; University of Pretoria, Department of Biochemistry, Genetics and Microbiology; University of Pretoria, Department of Animal and Wildlife Science Roets, Yrielle ; Council for Scientific and Industrial Research, Biosciences Ramchuran, Santosh ; Council for Scientific and Industrial Research, Biosciences Moonsamy, Ghaneshree; Council for Scientific and Industrial Research, Biosciences Jansen van Rensburg, Christine; University of Pretoria, Department of Animal and Wildlife Science Thantsha, Mapitsi ; University of Pretoria, Department of Biochemistry, Genetics and Microbiology Laloo, Rajesh; Council for Scientific and Industrial Research, Biosciences
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Bacillus multimode probiotic for poultry**1 Isolation, selection and evaluation of *Bacillus* spp. as potential multi-mode probiotics for poultry**

2 Uraisha Ramlucken ^{a,b}, Yrielle Roets ^a, Santosh O. Ramchuran ^a, Ghaneshree Moonsamy ^a, Christine Jansen van
3 Rensburg ^b, Mapitsi S. Thantsha ^b, and Rajesh Lalloo ^{a*}

4

5 ^a CSIR, Biosciences, Pretoria, South Africa, 00016 ^b University of Pretoria, Pretoria, 0002, South Africa

7

8 Summary

9 *Bacillus* based probiotics are becoming relevant as alternatives to antibiotics used in poultry production and in
10 other animal husbandry. This study describes the isolation of 48 *Bacillus* spp. candidates, from chickens and
11 chicken environments, for use as potential probiotics in poultry production. These isolates, plus a further 18, were
12 tested in a comprehensive *in vitro* screening regime that was specifically designed to select the best isolates that
13 satisfied multiple modes of action desirable for commercial poultry probiotics. This screening programme
14 involved the evaluation of the ability of the isolates to survive and grow in the limiting conditions of the chicken
15 gastrointestinal tract. Only 11 of the isolates fulfilled these criteria, hence they were further evaluated for the
16 ability to adhere to epithelial cells, produce extracellular enzymes and to demonstrate antagonistic activity against
17 selected pathogens of significant importance in poultry production. Of these, a total of 6 isolates were selected,
18 due to their all-round probiotic capability. Identification by 16S RNA sequencing confirmed these isolates as *B.*
19 *subtilis* and *B. velezensis*, identities which are generally regarded as safe. The *Bacillus* isolates reported in our
20 study exhibit strong all-inclusive probiotic effects and can potentially be formulated as a probiotic preparation for
21 poultry production.

22

23 Keywords: *Bacillus subtilis*; *Bacillus velezensis*; Broiler production; Development of probiotics; Indigenous
24 bacteria; Multi-mode; Probiotics

25

26 Introduction

27 Consumer demand for poultry products is rapidly increasing due to their affordability and accessibility. The broiler
28 industry must ensure fast growth and high stocking densities to enhance production efficiency (Griggs and Jacob,
29 2005; Kabir, 2009). These conditions impact negatively on chicken health, driving the indiscriminate use of
30 antibiotics, which leads to an increase in the outbreak of zoonotic diseases due to antibiotic resistance (Martinez

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31 and Baquero, 2000; Phillips et al., 2004). As such, in-feed antibiotics (IFAs) have been banned in regions such as
32 Europe, America (Perreten, 2003; Dibner and Richards, 2005) and Scandinavia (Bengtsson and Wierup, 2006;
33 Grave et al., 2006). Global increase in consumer health awareness has also resulted in preferences for poultry
34 products that are free from antibiotics, growth stimulants and other non-natural additives (Griggs and Jacob, 2005;
35 Yiridoe et al., 2005). Therefore, the poultry industry requires new and innovative technologies to address these
36 challenges.

37

38 Newer approaches to address these problems include the use organic acids, enzymes, plant derivatives, essential
39 oils and prebiotics, which can all substantially increase the cost of poultry production (Yang et al., 2009).
40 Probiotics are also currently used, the most common being *Lactobacillus* spp. and to a lesser extent others such
41 as *Enterococcus* spp., *Saccharomyces* spp. and *Aspergillus* spp. (Jin et al., 1998b; Kalavathy et al., 2003; Kabir et
42 al., 2004; Kabir, 2009). The main disadvantage associated with most of these probiotics however is their poor or
43 limited survival through the feed production steps and in the chickens' gastrointestinal tract (GIT) (Wolfenden et
44 al., 2010).

45

46 These limitations have led to an interest in *Bacillus* based probiotics, due to their spore forming capabilities, which
47 enables them to resist damage during the feed production process and to also survive the adverse conditions in the
48 GIT such as the presence of bile salts and low pH. Bacilli are also relatively easy to produce through conventional
49 fermentation processes and do not require expensive downstream processing to ensure stable commercial products
50 (Cutting, 2011). They are also known for their fast growth rate, the production of a wide array of digestive
51 enzymes and the ability to competitively exclude certain pathogenic bacteria (Hong et al., 2005; Leser et al., 2008;
52 Lee et al., 2012). The positive attributes of this genus offer promise for the development of suitable commercial
53 poultry probiotics.

54

55 One concern regarding the probiotic *Bacillus* spp. is their ability to grow under facultative conditions in the
56 chicken GIT, however several studies have shown that *Bacillus* spores can germinate and grow under these
57 conditions (Barbosa et al., 2005; Tam et al., 2006; Wu et al., 2007; Hong et al., 2009). Since then there has been
58 a drastic increase in studies investigating the properties of this species as probiotics for poultry (Teo and Tan,
59 2005; Wolfenden et al., 2010; Ahmed et al., 2014; Latorre et al., 2014; Chaiyawan et al., 2015; Nguyen et al.,
60 2015; Vasquez, 2016).

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61

62 Probiotic development requires isolation of potential candidates, followed by the investigation of specific criteria
63 of interest to the poultry industry, such as survival, colonisation and growth within the chicken GIT. Other
64 desirable effects include the production of digestive enzymes, attenuation of disease causing pathogens and
65 immunomodulation (Fuller, 1999; Simon et al., 2001; Kabir, 2009; Lee and Yu, 2013). Establishing the biosafety
66 of the potential probiotic is a critical factor, as this is a major concern of the industry and regulators alike, therefore
67 microorganisms that are of GRAS status are preferred (Fuller, 1992; Lee and Yu, 2013). Since all *Bacillus* strains
68 do not equally possess all probiotic competencies, the proper mathematical quantification of multiple effects to
69 form a multi-functional consortium is critical to delivering a commercially relevant product to the poultry industry
70 (Guo et al., 2006). This integrated approach has not been thoroughly researched, hence our hypothesis that
71 screening, selection and quantitative evaluation based on multiple criteria will result in commercially usable
72 probiotic products, encompassing multiple modes of action.

73

74 Materials and Methods

75 **Sample collection, isolation, purification and storage.** Samples were collected from selected South African
76 chicken (broiler, broiler breeder, egg layer and free range) production farms in the Gauteng region, chosen to
77 ensure a diverse range of bacteria sources. Faecal matter, bedding material and feathers were aseptically collected.
78 Swab samples from the body and foot region of live chickens were also obtained. Fresh samples of the chicken
79 GIT were provided by a commercial abattoir.

80

81 Individual samples of feathers, body swabs, faecal matter and bedding material (~ 5 g) were added directly to 100
82 ml of sterile sporulation media (yeast extract 0.008 g.L⁻¹, MgSO₄.7H₂O 0.5 g.L⁻¹, MnSO₄.4H₂O 0.05 g.L⁻¹ and
83 CaCl₂ 0.1 g.L⁻¹) (Merck, Germany) contained in a Erlenmeyer flask (500ml) and incubated at 32 °C on a platform
84 rotary shaker (Innova 2300 series, New Brunswick, Canada) at 180 rpm for 7-9 days. A sample from each flask
85 was checked microscopically at 400X magnification (Olympus BX40, Olympus, Japan) to confirm the presence
86 of spores. Chicken GIT samples (~5 g) were aseptically homogenised using a bench top T18 basic homogenizer
87 (Ultra Turrex, IKA, Germany) and treated in a similar manner to other samples. Each spore culture was then
88 treated using an isolation cascade, which comprised a dehydration and a heat treatment step to eliminate non-
89 spore formers (Laloo et al., 2007).

90

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91 Samples from each flask (1 ml) were serially diluted in sterile saline and plated on Nutrient Agar (NA) (Merck,
92 Germany) plates supplemented with 10 mg.ml⁻¹ polymyxin B antibiotic (Sigma-Aldrich, USA) to exclude any gram
93 negative spore forming bacteria. Plates were incubated for 24 hours at 32 °C. Single colonies based on morphology
94 differences were transferred onto new plates until monocultures were obtained consistently through three
95 passages. Each pure colony was subjected to the catalase (Kilian, 2015) and Gram staining (Barile, 2012) tests.
96 The cultures that passed the tests were thereafter cultivated in sporulation medium after which each spore culture
97 was cryo-preserved using 25 % v.v.⁻¹ glycerol (Sigma-Aldrich, USA) according to the method outlined by Acosta
98 (2004). These cell banks were then stored at -80 °C in an ultra-freezer (Forma™ 88000 Series, Thermo Scientific,
99 USA). Additionally 18 isolates from an existing in house *Bacillus* culture collection (CSIR, South Africa) were
100 selected for evaluation of their probiotic potential

101

Critical screening phase

103 ***Survival and growth of isolates at pH 3.*** Survival of isolates in an acidic environment was tested using a modified
104 and scale down method reported in Fuller (1999). The cell concentration of each cryo-culture was measured using
105 a counting slide (Thoma®, Hawskey and Sons, UK), under light microscopy at 400X magnification and cultures
106 were standardised to a cell concentration of 1 x 10⁸ CFU.ml⁻¹ using sterile distilled water. Tryptone soy broth
107 (TSB) (Merck, Germany) was adjusted to pH 3 using 1 M hydrochloric acid (HCl) (Minema Chemicals, South
108 Africa), prior to autoclaving at 121 °C for 15 minutes (Eins Sci Autoclave, Hospi Sterilizers, South Africa) and
109 thereafter cooled and aseptically aliquoted (10 ml) into the wells of a 6-well microplate. Each test isolate was
110 inoculated (1% v.v.⁻¹) into the wells in quadruplicate and the microplates were covered using sterile polyester seals
111 (Costar, Corning Incorporated, USA). Microplates were incubated for 4 hours at 42 °C with shaking on a platform
112 rotary shaker (120 rpm). Viable cell counts were determined using a standard plate count method by serially
113 diluting the samples and spread-plating onto Plate Count Agar (PCA) (Merck, Germany), followed by incubation
114 at 42°C for 24 hours. Colonies were enumerated using a colony counter (Bibby, Stuart scientific UK). Survival
115 and growth of the isolates was determined using the relative difference of the CFU.ml⁻¹ (Δ CFU.ml⁻¹) between the
116 start (T₀) and completion of the exposure time (T₄). Results were interpreted as the mean of the three most accurate
117 determinations. All isolates that did not survive at pH 3 were eliminated from further screening.

118

119 ***Growth of isolates in the presence of bile salts.*** Growth in bile salts was determined using the method of
120 Hyronimus, Le Marrec, Sassi and Deschamps (2000) downscaled to a 6-well microplate format. Sterile TSB

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121 supplemented with 0.3 % (wt.v⁻¹) of ox gall bile salts (Sigma-Aldrich, USA) was aliquoted (10 ml) into each well,
122 inoculated in quadruplicate with a cryo-culture of the isolate to be tested and the plates incubated at 42°C for 24
123 hours. Growth was measured using the difference in optical density (OD), measured at 660 nm using a micro-
124 plate reader (Synergy HT, BioTek USA), at the start (T₀) and end (T₆) of the cultivation time. The remaining 2
125 wells were used as un-inoculated controls which also served as a blank for the OD reading. Results were
126 interpreted as the mean of the three most accurate determinations. All isolates that displayed significantly lower
127 growth ($p < 0.05$) than the mean growth achieved in bile salts, were eliminated from further screening tests.

128
129 **Growth of isolates at intestinal pH extremes.** Growth was evaluated at pH 5 and pH 7 as a representation of the
130 pH extremes of the chicken intestine. Similarly to Section 3.2, sterile TSB medium was adjusted to either pH 5
131 (HCl) or 7 (NaOH) (Minema Chemicals, South Africa) prior to autoclaving and aseptically aliquoted into 6 well
132 microplates. Wells were inoculated with the test isolates, incubated for 4 hours at 42 °C, with growth measurement
133 by optical density at 660 nm. All isolates that displayed significantly lower growth than the mean growth achieved
134 at either pH 5 or 7 were eliminated from further screening.

135

136 Secondary screening phase

137 **Potential of isolates for production of digestive enzymes.** Isolates that passed the critical screens, that is, those
138 that survived at pH 3, were able to grow in the presence of bile salts and at intestinal pH, were then tested for the
139 production of amylase, cellulase, protease and xylanase enzymes. Each test isolate was inoculated from a cryovial
140 and grown in flasks containing sterile TSB (100 ml) at 42 °C for 12 h, with agitation at 120 rpm. The resultant
141 culture was standardized to an OD_{660nm} of 2 using sterile distilled water. Triplicate samples (100 µL) were
142 withdrawn and used to inoculate aseptically punched wells in the centre of an agar plate which contained the
143 substrate for the enzyme of interest. Solubilised starch (Sigma-Aldrich, USA) was added for amylase detection
144 (Ibrahim et al., 2012), carboxymethylcellulose (CMC) (Sigma-Aldrich, USA) was added for cellulase detection
145 (Kasana et al., 2008), milk powder and casein (Sigma-Aldrich, USA) was added for protease detection (Kim et
146 al., 2007) and Birchwood xylan (Sigma-Aldrich, USA) was added for xylanase detection (Nair and Shashidhar,
147 2008). All plates were sealed and incubated at 42 °C for 24 h (amylase and protease) and 48 h (cellulase and
148 xylanase). After incubation, the extent of the respective enzyme substrate reactions was visualised using different
149 staining techniques. Grams iodine was used for the detection of amylase and cellulase activity, while
150 trichloroacetic acid (Merck, Germany) (25% v.v⁻¹) was used followed by a 15 minute incubation at 45 °C for the

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151 detection of protease activity. A stepwise treatment with a 25% (v.v⁻¹) sodium chloride (Merck, Germany) solution
152 followed by staining of the plate with Congo red (Sigma-Aldrich, USA) was used for the detection of xylanase
153 activity. The diameters of the zones around the wells which were measured using a digital Vernier calliper (Insize,
154 Accu, UK) and were indicative of enzyme activity. The response of each isolate for each enzyme was included in
155 a mathematical matrix evaluation.

156

157 **Physical feed break down potential of the isolates.** The determination of the physical breakdown of feed was
158 done to evaluate the effect of each isolate on feed particle size. A commercial grower feed (nominal pellet diameter
159 ~ 3.5 mm and length ~6 mm) obtained from AFGRI (South Africa) was dried at 60 °C for 12 hours. Exactly 2 g
160 of the feed was added to a pre-sterilized nylon sieve (~ 1 mm nominal mesh breakthrough) which was suspended
161 in a sterile, 50 ml falcon tube (TPP, Switzerland) containing 40 ml of tap water. Standardised pre-cultures of each
162 test isolate, prepared as described in Section 3.5 were then added (1% v.v⁻¹) to the suspended feed. All tubes were
163 incubated at 42 °C for 24 hours with gentle agitation (25 rpm) in a rotary shaker. After incubation, the mesh and
164 remaining feed were removed, whereas the fines were harvested by centrifugation (AllegraX-22R, Beckman
165 Coulter, USA) for 30 minutes at 3900 x g and dried at 60 °C overnight. An un-inoculated negative control was
166 treated in the same manner. The weight of the pellet represented the feed that was broken down to below 1 mm
167 and was used to calculate percentage feed breakdown by expressing the percentage ratio of the broken-down feed
168 portion over the total feed on a dry basis. The percentage feed breakdown was expressed as a comparison to the
169 negative control to mitigate any breakdown that had occurred naturally from the shaking, incubation and
170 submergence in the water.

171

172 **Gut epithelium adhesion assay.** A Caco-2 cell line (University of Kwa-Zulu Natal, South Africa) was used to
173 mimic chicken epithelial cells as previously described (Tsai et al., 2005). Cells tested negative for mycoplasma
174 contamination by the institute it was obtained from. Cells were routinely maintained in Dulbecco Modified Eagle
175 Medium (DMEM) with antibiotics as outlined by Hsieh *et al.* (2013). For the adhesion assay, Caco-2 cells
176 (passage 20-22) were washed with pre-warmed (37 °C) phosphate buffered saline (PBS) (Lonza, Switzerland) and
177 then trypsinised by addition of 0.25% (w.v⁻¹) trypsin and 0.1% (w.v⁻¹) ethylenediaminetetraacetic acid (EDTA) at
178 approximately 85-90% confluency. Cells of a standard concentration (1×10^5 cell.ml⁻¹) were aliquoted (500 µL)
179 into wells of a sterile 24 well tissue culture plate, which was then covered (TPP, Switzerland), followed by
180 incubation at 37 °C in a CO₂ incubator (5% CO₂ in ambient air) until 80 % cell confluence was microscopically

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181 observed. The cell culture media (DMEM) was aspirated from each well and discarded. The adhered Caco-2 cells
182 were washed once with PBS before their inoculation with the test isolate cultures. *Bacillus* isolates were cultured
183 overnight in flasks containing sterile TSB (100 ml) as previously described in Section 3.5. Thereafter the cells
184 were harvested by centrifugation at $3900 \times g$ for 20 minutes and the pellet was re-suspended in DMEM to achieve
185 a normalised viable cell concentration of 1×10^8 cells.ml⁻¹. The cell suspension of each isolate was added (200
186 μ L) in triplicate into wells containing pre-adhered Caco-2 cells. Plates were incubated for 2 hours at 42 °C with
187 gentle agitation (25 rpm) in an orbital shaker (Innova 40R, New Brunswick, Canada). After incubation, free cells
188 in the media were removed by aspiration and collected in a 15 ml sterile falcon tube. The remaining adhered cells
189 were washed twice using sterile PBS to remove un-adhered bacteria, which was pooled with the free cell fraction
190 and made up to a total volume of 1500 μ L. The adhered portion was trypsinised to release the adhered cells, re-
191 suspended with DMEM and collected in a sterile 15 ml falcon tube (total volume 1500 μ L). The total bacterial
192 cells in the free and adhered portion were determined by microscopic cell counting as previously described. The
193 percentage adhesion was determined by calculating the percentage ratio of the total adhered to the total un-adhered
194 cells. The adherence of each isolate was included in a mathematical matrix evaluation.

195

196 ***Antagonistic activity of isolates against selected pathogens.*** A standard agar well diffusion method (Fijan, 2016)
197 was used to evaluate antagonism against four common chicken pathogens (*E. coli*, *S. enteritidis*, *L. monocytogenes*
198 and *C. perfringens*). Each test isolate was cultured as previously described in Section 3.5 and was aseptically
199 added from a culture flask (100 μ L, 1×10^8 cells.ml⁻¹) into pre-made wells on a Tryptone Soy Agar (TSA) (Merck,
200 Germany) plate, previously spread with each of the respective test pathogens. The plates were incubated at 42 °C
201 for 24 hours, after which zones of inhibition were measured using a digital Vernier calliper. The response of each
202 isolate for each enzyme was included in a mathematical matrix evaluation.

203

204 ***Elimination, scoring and selection of isolates.*** The data was processed by using statistical clustering and ANOVA
205 (analysis of variance). The clusters were based on a standard deviation of ± 0.5 SD (1SD total) of the mean of the
206 data set. P values < 0.05 or 0.01 were regarded as statistically different between means using the t-test. Isolates
207 were only eliminated in the critical screens (growth and survival at pH 3, growth in bile salts and intestinal pH
208 extremes). All isolates that did not survive these tests were eliminated from further testing and selection. In the
209 pH 3 survival test all isolates that survived and those that showed growth were selected. For the studies
210 investigating growth in bile and intestinal pH extremes, isolates that grew slower than the resultant mean growth

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211 for the study were eliminated. In the critical screen all isolates that clustered within the normal distribution of the
212 mean were given a score of one. For remaining isolates that clustered above the mean, each data group that was
213 significantly different from each other, was given a unique score, from 2 upwards. This strategy ensured that only
214 average and above average performers were carried over to subsequent screens.

215

216 Using this strategy, a score of 0 represented the lowest desirability and the highest score represented the highest
217 desirability to the pre-set criterion for selection of the putative probiotics. Isolates were eliminated from each part
218 of the screen if they had obtained a score of 0. In the non-critical screens (ability to produce enzymes, physically
219 breakdown feed, adherence to epithelial cells and demonstration of an antagonistic effect against common chicken
220 pathogens) the data from each response was similarly analysed but those isolates that clustered significantly below
221 the mean were given a universal score of 1 and those that clustered within the normal distribution of the mean
222 were given a score of 2. All remaining isolates that clustered above the mean were incrementally scored from 3
223 upwards. This ensured no isolate was eliminated but scored based on performance for each criterion. The final
224 selection was done using the accumulative scores calculated mathematically for all criteria of the entire screen.
225 The final selection was made based on the desirability of each probiotic on all parameters tested.

226

227 ***Strain identification and biosafety.*** Identification of all strains selected as putative probiotics was done by 16 S
228 RNA sequence homology executed by Inqaba Biotech™ (South Africa). Genomic DNA from a pure colony of
229 each isolate was extracted using the Bacterial DNA Kit™ (Zymo Research, Cat. No. D6005, USA). Amplification
230 of the 16S target region was performed by using DreamTaq™ DNA polymerase (Thermo Scientific, USA) with
231 two sets of forward and reverse primers (16s - 27 F and 16s -1492 R) which allowed for the sequencing of the
232 gene. The primer sequences were as follows (5' to 3'): AGAGTTTATCMTGGCTCAG and
233 CGGTTACCTTGTTACGACTT respectively. The PCR products were evaluated by gel electrophoresis and the
234 bands were extracted using a Zymoclean™ Gel DNA Recovery Kit (Zymo Research, Cat. No. D4001, USA). The
235 products were subsequently sequenced in the forward and reverse directions on an ABI PRISM 3500 XL genetic
236 analyser (Thermo Scientific, USA) as per manufacturer's instructions. PCR products were purified using a ZR-96
237 DNA sequencing clean up kit (Zymo Research, USA) as per manufacturer's instructions and cycle sequenced on
238 a CLC main workbench 7 (QIAGEN, Germany). The sequence alignments were performed by a BLASTN search
239 (NCBI). Identification was based on the level of confidence of the sequence homology. The BLASTN results
240 correspond to the similarity between the sequence queried and the biological sequences within the NCBI database.

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241 (Altschul et al., 1997). Biosafety assessments were based on the strain identification of the putative probiotics of
242 interest and only organisms that were generally regarded as safe (GRAS) strains were selected (Wright, 2005).

243

244 Results**245 *Isolation of Bacillus spp.***

246 A total of 48 isolates were obtained and successfully purified from poultry rearing environments, of which 15
247 were obtained from broiler, 16 from free range, 4 from egg laying and 13 from broiler breeder farms. An analysis
248 of the frequency of occurrence of sample groups revealed that the majority of isolates were obtained from the
249 chicken GIT (54%), followed by faecal matter (17%), body swabs (15%), feathers (8%) and surrounding
250 production environment (6 %).

251

252 Critical screening phase**253 *Evaluation and elimination of isolates against critical survival and growth requirements within the chicken*****254 *GIT***

255 Figure 1 illustrates the results obtained in the critical screen. A further 18 isolates were added from our existing
256 organism database, to the 48 isolates obtained in this study resulting in a total of 66 candidates (Figure 1). The
257 additional isolates were included based on historical information related to high digestive enzyme activities or
258 because they were of animal origin. In the pH 3 survival study, 63 isolates were tolerant to pH 3, whilst the three
259 that lost complete viability were eliminated from further testing (Figure 1). These 63 isolates were then tested for
260 growth in the presence of bile salts, of which, 19 were unable to grow, 12 grew poorly, whilst the remaining 32
261 isolates that grew well, were selected for further evaluation of growth at intestinal pH extremes. At pH 5, 20
262 isolates were eliminated (13 did not grow and seven grew poorly), whilst at pH 7, 12 isolates were eliminated due
263 to poor growth. The remaining 11 isolates that showed significantly higher growth ($p < 0.05$) at both intestinal pH
264 levels were selected and included into the next screening phase.

265

266 Secondary screening phase**267 *Extracellular enzyme production and the ability to physically break down feed***

268 The 11 isolates carried over from the critical screen to the secondary selection phase were tested for extracellular
269 enzyme production and all isolates produced the four enzymes of interest, but at varying levels (Figure 2). In the
270 amylase production test, five isolates clustered significantly above average (CPB 029, CPB 011, D 014, HP 1.6

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271 and CPB 035) ($p < 0.05$). Similarly isolates CPB 011, CPB 029 and CPB 035 were significantly better in the
272 protease test ($p < 0.01$). With regards to the enzymes cellulase and xylanase, three organisms each for cellulase
273 (CPB 003, CPB 029 and D 014) and xylanase (CPB 011, D 014 and CPB 020) performed significantly better
274 respectively ($p < 0.05$ and $p < 0.01$). Four isolates (CPB 029, CPB 035, D014 and HP 1.6) had significantly higher
275 performance in the cumulative scoring rating of all enzymes ($p < 0.01$).

276

277 In the physical feed breakdown application study, CPB 011, CPB 035 and D014, were the best isolates and
278 clustered significantly above the average ($p < 0.05$).

279

280 Adherence potential to gut epithelium cells

281 Three isolates (CPB 010, CPB 035 and CPB 029) showed significantly higher adherence (~43 % attachment) to
282 Caco-2 cells ($p < 0.05$), of the 11 evaluated. Isolate CPB 010 resulted in the highest adherence (~57%), whilst
283 five isolates were average (~ 37 % adherence) and three isolates were poor (~20% adherence) (Figure 3).

284

285 Antagonistic activity against common poultry pathogens

286 When, antagonistic activity of the 11 isolates was measured against *E. coli*, CPB 011, CPB 020, CPB 029, CPB
287 035 and HP 1.6 resulted in significantly better antagonism against the pathogen ($p < 0.01$) (Figure 4). Similarly,
288 isolates CPB 011, CPB 029, CPB 035, and HP 1.6 expressed significantly higher antagonism against *S. enteritis*
289 ($p < 0.05$), whereas CPB 011, CPB 035, HP1.6 and D014 displayed significantly better antagonism ($p < 0.05$)
290 against *L. monocytogenes*. Isolates CPB 011, CPB 003, HP 1.6 and CPB 020 were the best organisms regarding
291 antagonism against *C. perfringens* ($p < 0.01$). When comparing the overall antagonistic activity against all four
292 of the pathogens of interest, isolates CPB 011, CPB 020, CPB 035 and HP 1.6 resulted in the highest scores.

293

294 Final selection of putative probiotics

295 Using the mathematical strategy designed for this study, results showed that isolates CPB 020, CPB 035 and CPB
296 011 performed significantly better than the average performers, based on the cumulative score ($p < 0.05$) and were
297 therefore selected as the core consortium (Table 1). The average performers CPB 010, CPB 029, HP 1.6 and D014
298 also resulted in a high cumulative desirability co-efficient (80- 90%) and were included as auxiliary isolates (Table
299 1). All seven of the isolates selected were subsequently subjected to a growth suitability evaluation to confirm

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300 production potential in industrial media wherein isolate CPB 010 resulted in extremely poor growth (data not
301 shown) and was therefore excluded from selection.

302

303 *Microorganism identification*

304 Four of the six strains were identified as *B. subtilis* (CPB 011, CPB 029, D014 and HP 1.6), and the remaining
305 two (CPB 020 and CPB 035) were identified as *B. velezensis*.

306

307 Discussion**308 *Isolation of Bacillus spp.***

309 The use of indigenous microorganisms from within the host is preferred and is a good starting point when
310 developing probiotics, as it not only gives the best chance of surviving and colonizing the intestine but also
311 alleviates many of the challenges associated with introducing foreign bacteria. This was the rationale in targeting
312 the various broiler production facilities. The result obtained from our isolation programme confirm the general
313 expectation that samples associated with the GIT and faeces resulted in a higher yield of putative isolates, as the
314 microorganisms are generally associated with the GIT. Traditionally, *Bacillus* spp. are considered mostly aerobic
315 but our study, similarly to those of other researchers, (Barbosa et al., 2005; Wolfenden et al., 2010; Latorre et al.,
316 2014; Chaiyawan et al., 2015), showed that they can be successfully isolated from the facultative and anaerobic
317 zones of the chicken GIT.

318

319 *Critical Screening Phase*

320 In this phase, the core strategy was to eliminate isolates that did not survive or grow under *in vitro* conditions that
321 simulated the chicken GIT because these isolates would not be suitable as probiotics (Fuller, 2001). The screen
322 comprised of the survival in pH 3, growth in the presence of bile salts and growth at intestinal pH extremes.

323

324 *Evaluation and elimination of isolates against critical survival and growth requirements within the chicken*
325 *GIT.*

326 The first screen was designed to evaluate survival at low stomach pH wherein 63 of the 66 isolates survived,
327 attributable to the resistant nature of *Bacillus* spores (Spinosa et al., 2000; Cutting, 2011). The remaining three
328 isolates were eliminated from further study, as they did not satisfy the minimum survival criteria. Of the 63
329 survivors, the seven that grew were scored higher because growth at this pH is furthermore highly desirable. Pre-

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330 germination and growth in the gizzard delivers actively growing cells to the zones within the intestine where the
331 probiotic effect is required, therefore the probiotic activity of these isolates is expected to be better, especially
332 considering the short transient time within the chicken GIT under production conditions (Hughes, 2008).
333 Interestingly, all three isolates that did not survive at pH 3, were not obtained from poultry environments, perhaps
334 indicating better adaptation of isolates from the target host to low pH. The key requirement for this screen was for
335 the probiotic to retain viability after exposure to the acidic conditions and growth is not obligatory in this section
336 of the digestive system (Fuller, 2001), in contrast to the small intestine which is the main site of probiotic activity
337 (Pan and Yu, 2014). In our study, seven isolates actually grew, which indicated exceptional potential as putative
338 probiotics.

339
340 In the bile salt growth test, isolates that did not survive or grew poorly (31 isolates) were eliminated from further
341 screening of the 63 that were carried over into this test. These 31 isolates did not qualify as suitable probiotics
342 mainly due to the lack of resistance to the antimicrobial properties of bile salts and were eliminated from further
343 testing. It is likely that these organisms do not produce the enzyme bile hydrolase which offers protection from
344 the toxic effects of bile (Begley et al., 2006; Patel et al., 2010). In contrast the 32 isolates that grew well in the
345 presence of bile salts (most likely attributable to the production of bile hydrolase) were selected for the next screen.
346 . Similar to our findings, other researchers have also shown the survival and growth of *Bacillus* spp. in the presence
347 of bile (Lee et al., 2012; Menconi et al., 2013). Bile salts can be detrimental to probiotic bacteria as bile is a part
348 of the host's natural defence mechanism and elicits an antimicrobial effect (Begley et al., 2005; Begley et al.,
349 2006). When selecting feed probiotics, the survival in bile salts is therefore considered a minimum requirement
350 for proper functionality in the intestine. In chicken production, bile is constantly produced due to continuous
351 feeding, therefore good growth in the presence of bile is an important requirement (Jin et al., 1998a).

352
353 As expected, all of the 32 isolates tested grew at pH 7, which is close to the optimum growth pH of most *Bacillus*
354 spp. (Rasko et al., 2005; Stahly et al., 2006). However, at pH 5 only 12 of the 32 isolates tested grew well and this
355 is, again, attributable to the resistant nature of the spore state. More than 50 % of the isolates screened were
356 eliminated, indicating the rigor in our elimination procedures. This pH occurs at the beginning of the small
357 intestine due to the acid carried over from the gizzard and growth at this lower pH is important to maximise the
358 probiotic effect.

359

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360 Overall, of the 66 isolates screened, only 11 isolates were selected based on the critical elimination criteria
361 conferring that approximately 83% of isolates were eliminated. This indicated that the elimination criteria used
362 were sufficiently rigorous to only allow the best candidates that survived the *in vitro* simulated GIT conditions,
363 to be selected for further testing. This screening strategy ensures better potential to find suitable putative
364 probiotics, by eliminating the poor candidates early in the selection process, enabling focus on a smaller number
365 of isolates in subsequent screens. The 11 isolates remaining were then subjected to a secondary screening phase.

366

367 *Secondary screening phase*

368 The secondary screening phase comprised of the enzyme production, adherence and pathogen antagonism studies.
369 In these tests, all organisms were evaluated and scored, without any elimination, as the objective was to assess
370 cumulative probiotic effects with a view to find a multi-mode probiotic consortium.

371

372 *Extracellular enzyme production and the ability to physically break down feed*

373 Isolates CPB 029, CPB 035, D014 and HP 1.6 produced all the test enzymes and cumulatively produced the
374 highest level of digestive enzymes of interest to the poultry industry. A total of five of the 11 isolates were above
375 average in the production of amylase, which is an important enzyme for the hydrolysis of complex carbohydrates
376 which make up approximately ~60 % of poultry feed. Even though the chicken naturally produces this enzyme,
377 additional production from probiotics will enhance the digestion of carbohydrates resulting in improved uptake
378 (Latorre et al., 2016). The best protease producers were isolates CPB 011, CPB 029 and CPB 035 which are also
379 important in the digestion of complex proteins in the diet (~20%). Although produced by the chicken in smaller
380 quantities, poultry producers supplement this enzyme in their feeding regimes, due to higher levels eliciting
381 multiple benefits, such as, improvement in amino acid digestibility across various protein sources, minimization
382 of the impact of anti-nutritional factors and allergenic proteins in feedstuffs, and augmentation of the degradation
383 of low-quality proteins (Ravindran, 2013).

384

385 Only three of the 11 isolates were able to produce high levels of cellulase and xylanase. These isolates are of great
386 interest because these enzymes are not naturally produced by the chicken, therefore their addition in feedstuffs is
387 becoming customary. The global trend is moving towards formulating poultry feed to incorporate more non-starch
388 polysaccharides (NSP), such as wheat and barley to circumvent the increasingly high cost of maize based diets
389 (Latorre et al., 2016). This change in monogastric animal diets has had a negative impact in growth performance

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390 as these NSP based raw materials, increase intestinal viscosity, affecting digestibility and absorption of nutrients
391 by the intestinal surface (Annison, 1993; Choct, 2006; Khattak et al., 2006; Latorre et al., 2016). This requires the
392 addition of NSP-enzymes such as xylanase and cellulase to aid in the digestion of these diets (Guo et al., 2013),
393 but probiotics can serve this function, reducing the need for addition of NSP-enzymes.

394

395 This enzyme study allowed us to confirm that the putative probiotics of interest all produced the four key enzymes
396 of importance for digestibility and feed conversion efficiency (Murugesan et al., 2014). Three out of the four best
397 performing isolates in the enzyme production assays were also the best performers in the feed breakdown test,
398 whilst the worst enzyme producers were the poorest in breaking down feed. This could be expected as the ability
399 to physically break down feed was mainly a function of enzyme activity in our *in vitro* test. A fact often overlooked
400 when screening probiotics, is the impact that enzymes play in the particle size of feed and its role in energy
401 conversion. The ability to physically break down feed by probiotic action within the crop of the chicken, before
402 movement into the gizzard, improves intestinal digestion and absorption because of increased surface to volume
403 ratio of the feed particles. (Amerah et al., 2007).

404

405 Adherence potential to gut epithelium cells

406 The results of our study indicated that our isolates possess moderate adherence capabilities which correlate to the
407 finding of Thirabunyanon and Thongwittaya (2012) who demonstrated a range of adherence capabilities from
408 *Bacillus* spp. The moderate attachment abilities of *Bacillus* spp. were corroborated by an *in vivo* study by Latorre
409 *et al.* (2014) which was conducted to ascertain the germination, persistence and distribution of *Bacillus* spores
410 throughout the GIT of broiler chickens. Contrastingly higher adherence to epithelial cells (>70 %) was reported
411 by Chaiyawan *et al.* (2015). However, adherence studies on *Bacillus* spp. remain sporadic in literature preventing
412 validation of acceptable adherence levels in functionalised probiotics but significant information is available for
413 *Lactobacillus* spp. showing relatively higher levels of adherence (Garriga et al., 1998; Ehrmann et al., 2002;
414 Bouzaine et al., 2005; Heravi et al., 2011; Aazami et al., 2014). Adherence to the epithelial cells of the host offers
415 a competitive advantage as the attachment improves the residence time and thus the probiotic effect in the gut
416 (Bernet et al., 1994; Servin and Coconnier, 2003). Attached organisms are beneficial, as the flow of digested feed
417 due to peristalsis, hinders probiotic activity if the cells are not attached, especially because the residence time is
418 relatively short in chickens (Hughes, 2008). Additionally, chicks used for broiler production are hatched in
419 artificial incubators and as such their GIT is pioneered entirely by exogenous organisms (Pan and Yu, 2014). This

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420 presents an opportunity for the use of probiotics to colonize the GIT of day-old chicks and reduces the potential
421 for exogenous pathogen attachment. (Ouwehand et al., 1999). As *Bacillus* cells are generally less adherent,
422 transient presence in the GIT of chickens needs to be maintained by continuous administration and higher levels
423 of efficacy.

424

425 Antagonistic activity against common poultry pathogens

426 Approximately 45 % of isolates screened, displayed superior antagonism against *E. coli*, a further 27 % produced
427 average activity and the remaining isolates (~27%) showed no inhibition and were actually inhibited by the
428 pathogen. It bodes well that the majority of putative probiotics tested showed antagonism against *E. coli*, as it's
429 infection (particularly the O157:H7 strain) in broilers causes serious commercial losses in poultry production
430 (Kiranmayi et al., 2010). All isolates tested, showed some antagonistic activity against *S. enteritis*, albeit at varying
431 levels. This is an important results as *S. enteritis* is the most prevalent disease causing pathogen in the poultry
432 industry resulting in Salmonellosis (Boyle et al., 2007; Finstad et al., 2012; Dhama et al., 2013a). Our results from
433 this study correlate well with the established research regarding both *E. coli* and *S. enteritis* (Guo et al., 2006;
434 Thirabunyanon and Thongwittaya, 2012; Latorre et al., 2016).

435

436 Approximately 54% of the isolates tested were antagonistic towards *L. monocytogenes* and this could be
437 commercially relevant in the reduction of Listeriosis which is becoming a serious threat, as epidemics are
438 occurring worldwide. Currently, it is becoming imperative to screen for antagonism against this pathogen and our
439 study contributes substantively to the limited information available on antagonism of *Bacillus* based probiotics
440 against this pathogen (Dhama et al., 2013b). Interestingly, more than 40%, of the isolates did not show any
441 inhibition of *L. monocytogenes*, thus the isolates showing inhibition are important in addressing this disease
442 through probiotic technology. Similarly, ~54% of the isolates tested, showed antagonistic activity to *C.*
443 *perfringens*. Although not detrimental to humans, *C. perfringens* has fatal effects on poultry as it is the cause of
444 clinical necrotic enteritis (NE), (necrosis of the intestine), which is highly infectious and can lead to serious
445 economic losses (Immerseel et al., 2004). Unlike the other pathogens, the *C. perfringens* study was conducted
446 under both aerobic and anaerobic conditions because this organism grows best under obligate anaerobiosis and
447 thus provided the best probiotic-to- pathogen challenge conditions. All putative probiotics performed marginally
448 better in aerobic conditions, but the results were insignificantly different, showing the ability of *Bacillus* spp. to

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449 inhibit this pathogen under both conditions even through the preferred growth condition is aerobic (Rasko et al.,
450 2005; Stahly et al., 2006).

451

452 Based on the overall antagonism results of the 11 isolates against the four pathogens of interest to the poultry
453 industry, CPB 011 resulted in the highest score. Isolates CBP 035, CBP 020 and HP 1.6 also showed promise as
454 antagonistic agents against all pathogens because their antagonistic activity was similar to CPB 011 and clustered
455 in the above average data subset. In contrast, CBP 010, CPB 004, CPB 018 and CPB 002 showed poor antagonism
456 in the overall rating and upon examination of the data, each of these strains were inhibited by at least two of the
457 pathogens, indicating the lack of suitability of these isolates as probiotics.

458

459 As antagonism through antibiosis is no better than the addition of commercial antibiotics to poultry feed,
460 especially in organic chicken production, it was essential to infer the mode of action of our putative probiotics
461 against pathogens. For this reason, we further tested each isolate against each pathogen using a cross-streak
462 methodology and confirmed the unlikelihood of antibiotic production because of the absence of zones of
463 inhibition. It is thus likely that the antagonism is due to competitive exclusion of the pathogen related to nutrients
464 or spatial competition. This concept was also described by others where it was shown that aggressive growth,
465 space and nutrients are likely reasons for competitive exclusion (Hibbing et al., 2010; Cray et al., 2013).

466

467 *Final selection and safety of putative probiotics*

468 The final selection from the 11 candidate probiotics resulted in a core consortium (CPB 011, CPB 020, and CPB
469 029) and included three ancillary isolates (CPB 035, HP 1.6 and D 014), based on the significant differences
470 between the two groups in overall performance. The screening rationale used in our study was designed in such a
471 manner as to systematically eliminate isolates with poor potential in the critical screening phase and then to
472 stringently evaluate the putative isolates selected, for probiotics effects. Many authors have used similar strategies
473 (Barbosa et al., 2005; Wolfenden et al., 2010; Chaiyawan et al., 2015; Nguyen et al., 2015), few of which have an
474 elimination strategy to circumvent screening a large number of isolates. Using relative response methodology and
475 by clustering the isolates into sub-populations of significant differences in performance, allowed us to
476 mathematically rate the isolates for each of the criteria. The criteria used were prioritised based on the key
477 requirements for functionality of poultry probiotics (Fontana et al., 2013). Scores were converted to a desirability
478 co-efficient, which served as an overall indicator of the suitability of each isolate across all of the criteria in a

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479 cumulative manner. In probiotic selection, a key factor to consider is that one excellent probiotic characteristic
480 does not surpass the value of a holistic range of probiotic effects (Chapman et al., 2011), especially if a multi-
481 mode product is the end objective. In response to this, our selection strategy yielded a six-strain consortium that
482 comprised the full complement of desirable characteristics and hence offers the poultry industry of a convenient
483 single product solution.

484

485 Identification of our consortium revealed four *B. subtilis* and two *B. velezensis* strains with 99% sequence
486 homology. The latter is closely related to *B. subtilis* and is "generally regarded as safe" (GRAS) by the US Food
487 and Drug Administration (USFDA) (Harwood and Wipat, 1996). The use of *Bacillus* spp. as probiotics has been
488 met with some scepticism due to a few pathogenic species, however the consortium selected in our study has been
489 proven safe.

490

491 The findings of this study resulted in development of a multi-strain consortium that in combination, produced
492 extracellular enzymes, adhered to epithelial cells and showed antagonistic activity against common poultry
493 pathogens. The consortium was also successfully shown to survive and grow in the presence of bile and under the
494 range of challenging pH conditions simulating the chicken GIT. This study allowed for the successful selection
495 of a consortium of strains, which was proven to be effective in an *in vivo* broiler field trial (Ramlucken et al.,
496 2019). This multi-mode consortium shows excellent potential to address the commercial challenges of the poultry
497 industry.

498

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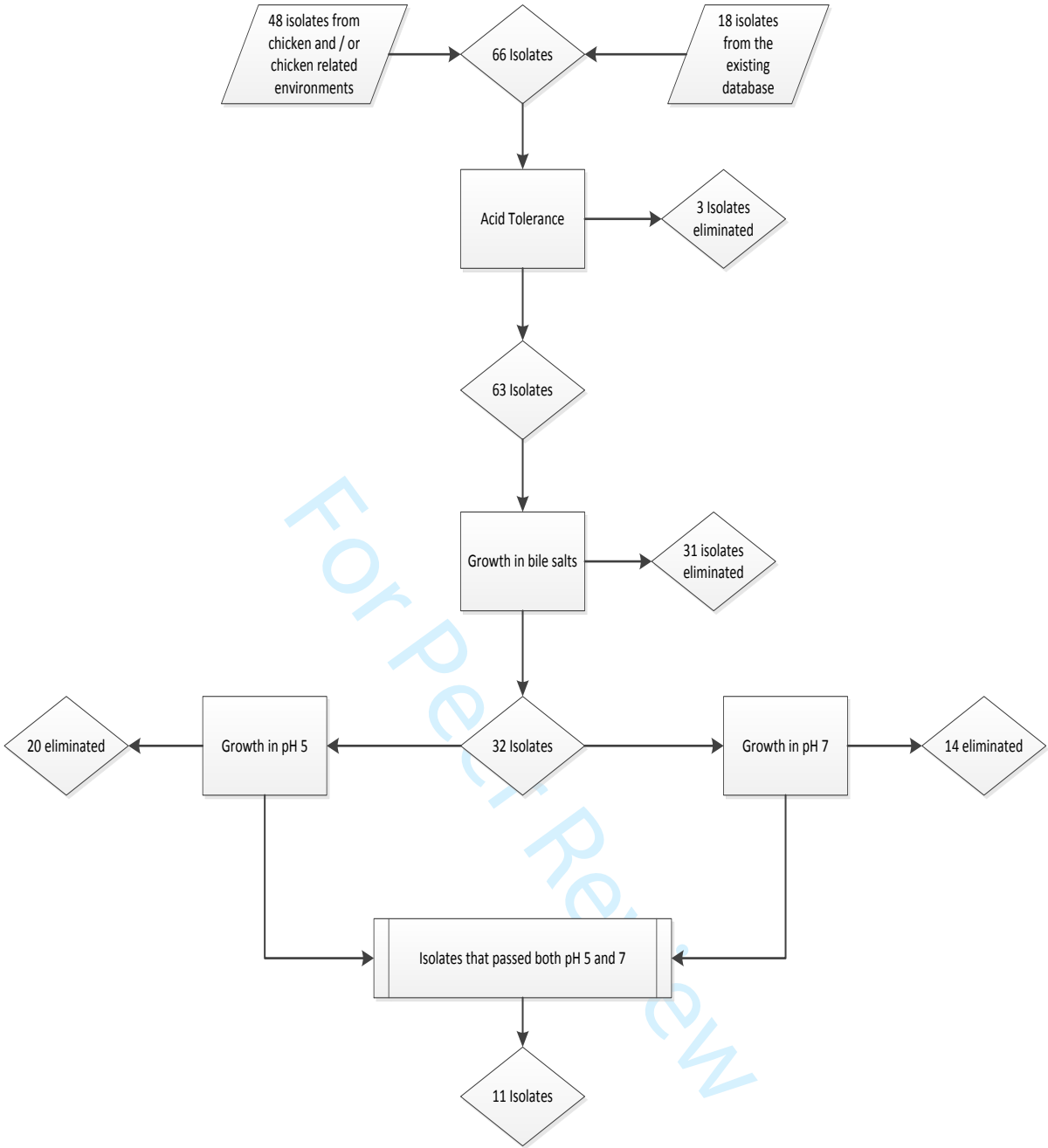
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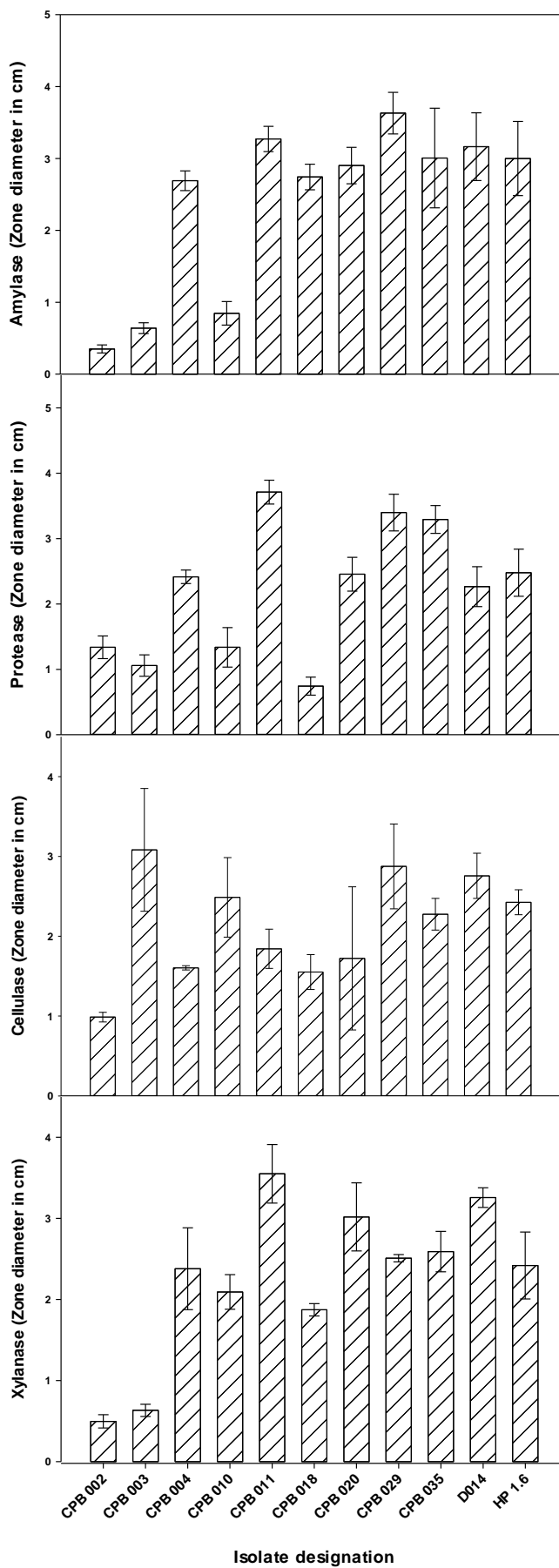
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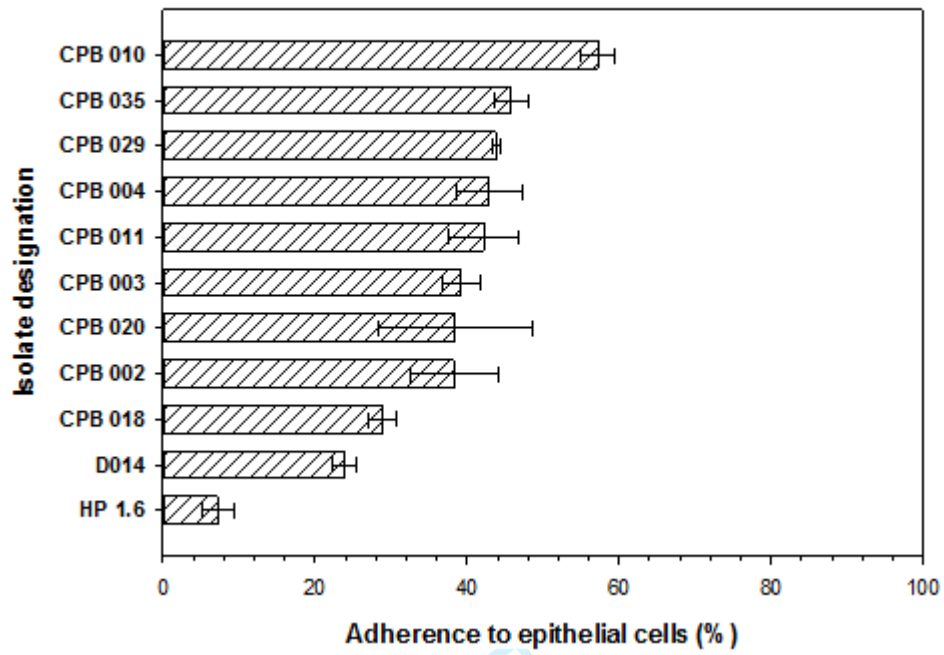
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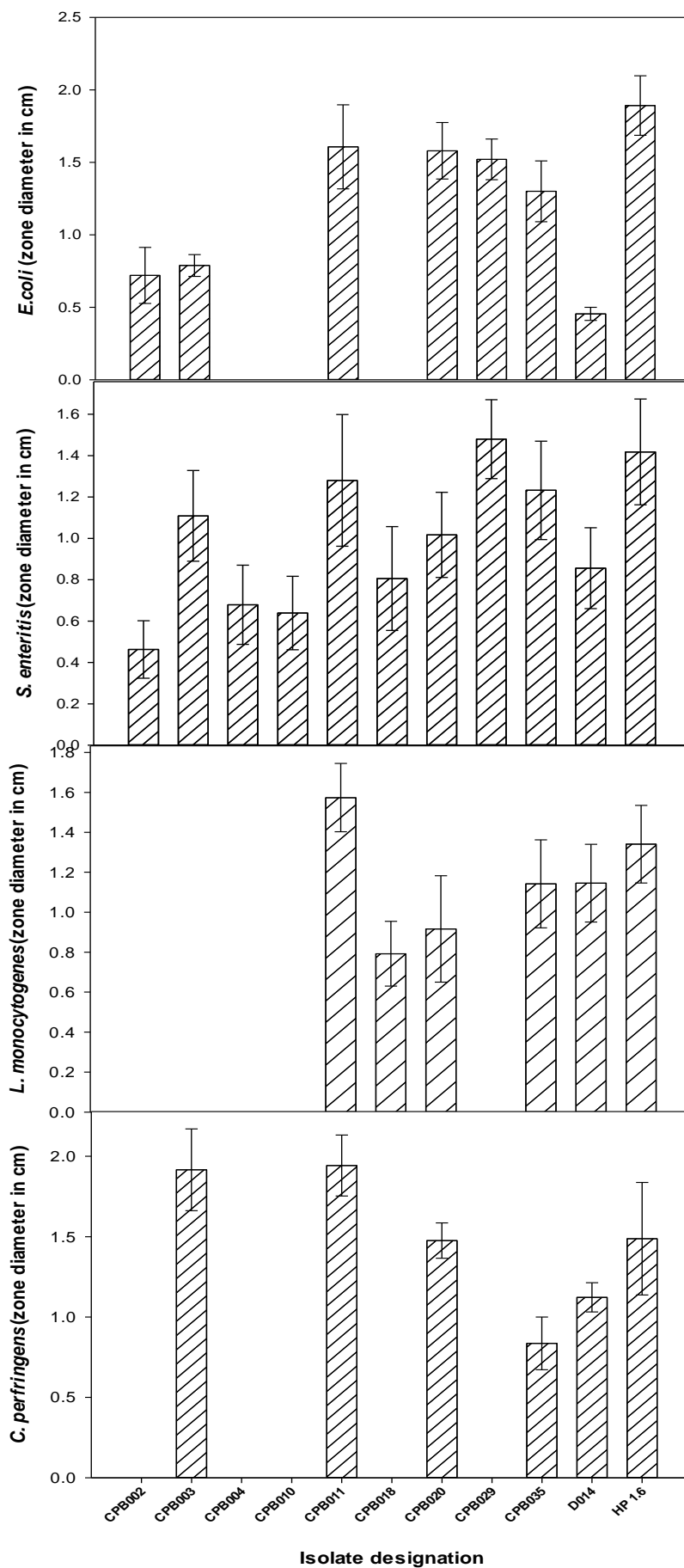


Table 1 Desirability co-efficient of each putative probiotics showing suitability to each criteria and cumulative multi-mode performance rating (relative % to maximum)

Organism Designation	Survival and Growth at pH3	Growth in Bile	Growth at intestinal pH	Adherence	Digestive Enzymes	Pathogen Antagonism	Feed Breakdown	Cumulative
Desirability Co-efficient (%)								
CPB 020	100.0	50.0	100.0	50.0	80.0	85.4	50.0	100.0
CPB 035	28.6	50.0	60.0	75.0	93.3	91.7	100.0	96.7
CPB 011	14.3	50.0	100.0	50.0	100.0	100.0	75.0	94.9
<i>CPB 010^d</i>	28.6	100.0	60.0	100.0	73.3	37.5	50.0	87.2
<i>CPB 029</i>	14.3	100.0	40.0	75.0	100.0	66.7	50.0	86.5
<i>HP 1.6</i>	14.3	100.0	80.0	25.0	86.7	100.0	25.0	83.6
<i>D014</i>	14.3	50.0	80.0	25.0	100.0	68.8	75.0	80.1
CPB 018	28.6	50.0	80.0	25.0	66.7	45.8	50.0	67.1
CPB 002	14.3	100.0	80.0	50.0	33.3	39.6	25.0	66.4
CPB 003	14.3	50.0	60.0	50.0	60.0	68.8	25.0	63.6
CPB 004	14.3	50.0	60.0	50.0	73.3	37.5	25.0	60.2

Core isolates in bold, auxiliary isolates in italics

^dUnable to grow in industrial media

Fig. 1 Schematic illustration of critical screening steps showing the selection of qualifying isolates. The critical screen consisted of the survivability and growth of isolates at pH 3, in the presence of bile salts and in the pH extremes of the intestine. All experiments were representative of three repeats and all results were determined as mean \pm standard deviation.

Fig. 2 Extracellular enzyme activity of putative probiotics measured by the zone diameter (cm) on a substrate dependant medium. Enzymes evaluated were amylase, protease, cellulase and xylanase. Error bars represent standard deviations, n= 6 replicates, p-values (two-tailed), $p < 0.05$.

Fig. 3 Adherence activity of putative probiotics to epithelial cells (Caco-2). Isolates were assessed on their ability to adhere to an epithelial monolayer for two hours after rigorous washing. Error bars represent standard deviations, n= 3 replicates p-values (two-tailed), $p < 0.05$.

Fig. 4 The antagonistic activity of isolates against common chicken pathogens namely *E. coli*, *S. enteritis*, *L. monocytogenes* and *C. perfringens*. Competitive exclusion was measured by spatial domination of the pathogen. Error bars represent standard deviations, n= 6 replicates p-values (two-tailed), $p < 0.05$.