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2 **Title.** Serum antibody immunoreactivity and safety of native porcine and recombinant zona  
3 pellucida vaccines formulated with a non-Freund's adjuvant in horses

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13 **Abstract**

14 Commercial and regulatory limitations associated with native porcine zona pellucida (pZP)  
15 vaccines formulated with Freund's adjuvants may be overcome by developing effective  
16 recombinant ZP vaccines (reZP) and identifying alternative adjuvant formulations. In a two-  
17 part study, a preparatory trial using 15 geldings identified potentially effective alternative  
18 adjuvant formulations based on anti-pZP antibody response following treatment with pZP  
19 formulated with Addavax (AddaVax™, Invivogen), Quil A (Quil-A® Adjuvant, Invivogen),  
20 Quil A and Poly (I:C) (500 µg Poly(I:C) HMW VacciGrade™, Invivogen), Pet Gel A (10%;  
21 Montanide™ Pet Gel A, Seppic) and Pet Gel A and Poly (I:C). Injection site reactions, body  
22 temperature and respiration and heart rates were also monitored. Sufficient anti-pZP  
23 antibody titres were seen in response to Pet Gel A and Pet Gel A and Poly (I:C).  
24 Subsequently in 31 mares, following administration of pZP, reZP and combined pZP and  
25 reZP proteins prepared in 6% Pet Gel A and 500 µg Poly (I:C), their serum anti-pZP and -

26 reZP antibody responses were monitored. In addition, safety was assessed for seven days  
27 post-treatment by inspection and palpation of gluteal intramuscular injection sites and  
28 measurement of body temperature. The measured antibody titres in all treatment groups  
29 differed significantly to an adjuvant control group ( $P < 0.001$ ). Temporal changes in both anti-  
30 pZP and -reZP antibody titres in all ZP treatment groups were similar to patterns reported  
31 previously in various species vaccinated with pZP formulated with Freund's adjuvants. There  
32 were no differences in anti-pZP antibody titres between pZP and reZP treated mares  
33 ( $P > 0.05$ ). Side effects were mild and transient in nature. This represents the first application  
34 of a reZP vaccine evoking a similar antibody titre response to native pZP vaccine in mares.  
35 Furthermore, incorporation of a novel non-Freund's adjuvant provided an alternative  
36 effective formulation for ZP-based immunocontraception.

37 **Keywords** antibody titres, zona pellucida proteins, immunocontraception, vaccine  
38 formulation, horse

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50 **Introduction**

51 The induction of antibodies against zona pellucida (ZP) proteins for the inhibition of fertility  
52 was first reported in 1972 [1]. In the absence of suitable adjuvant formulations ZP proteins  
53 are weak antigens [2]. Efficacy has, however, been demonstrated via the combination of  
54 native porcine zona pellucida (pZP) proteins formulated with Freund's modified complete  
55 adjuvant (FMCA) and Freund's incomplete adjuvant (FIA) for primary and booster  
56 immunisations, respectively. These immunocontraceptive vaccines have been for more than  
57 30 years in populations of horses [3, 4] and white tailed deer [5] and for 18 years in African  
58 elephants [6, 7, 8]. In total, more than 90 zoo and wildlife species have been treated with  
59 pZP formulated with Freund's adjuvants to achieve fertility control [9]. In most, the primary  
60 treatment was followed by a booster after two or three weeks or, in African elephants, after  
61 five weeks [8]. The duration of the contraceptive effect is approximately one year in most  
62 species including African elephants and horses and single annual boosters are administered  
63 to maintain this effect [10, 11, 12]. A liposomal pZP formulation containing cholesterol, a  
64 phospholipid and FMCA has provided both a prolonged contraceptive effect in horses [13]  
65 and anti-pZP antibody titres in elephants [14]. Reversibility of this formulation has however  
66 not been demonstrated which may be problematic for the conservation of threatened species  
67 including the free-ranging African elephant.

68 The presumed immunocontraceptive mechanism of pZP vaccination involves antibody  
69 binding to the ZP sperm receptor sites and subsequent prevention of sperm-oocyte binding  
70 and fertilisation. Based on this supposition, pZP immunisation should not affect the  
71 hypothalamic-pituitary-gonadal axis, thereby permitting continuation of cyclical ovarian  
72 activity [15]. Ovarian suppression has however been reported in recent years [16, 17]. It has  
73 been suggested variously that this suppression may be associated either with vaccine  
74 contamination by non-ZP proteins in the native derived pZP formulations or as an aspect of  
75 formulations with Freund's adjuvant, although this has yet to be fully defined [18].

76 Appropriate delivery systems for their antigen presentation properties [19, 20] and effective  
77 cellular and humoral immune potentiators [19, 21] are required for ZP immunocontraception  
78 formulations. A recent approach to optimize vaccine immune responses is the use of  
79 different adjuvant combinations that stimulate both Th1 and Th2 mediated responses [19,  
80 22], which may be useful and necessary for ZP-based vaccination.

81 Previous investigations in mice and dogs have utilised alternative (i.e. non-Freund's)  
82 adjuvants including Pet Gel A, Alum and CP20, 961 in combination with ZP-antigens [23,  
83 24]. In a murine model study [23] that used Pet Gel A for adjuvating purposes, purified ZP3,  
84 the putative primary sperm receptor [25], was expressed with promiscuous T-cell epitopes of  
85 tetanus toxoid (TT-KK-ZP3) and ZP4 with a promiscuous T-cell epitope of bovine RNase  
86 (bRNase-KK-ZP4). The epitopes of tetanus toxoid and bovine RNase served as a carrier for  
87 the protein antigen and toll-like receptor (TLR) antagonist, respectively. These two treatment  
88 formulations elicited both high anti-ZP3 and -ZP4 antibody titres as well as T-cell responses.  
89 A decrease in fertility was also reported. An additional treatment group was primed with pZP  
90 and received a booster with combined TT-KK-ZP3 and bRNase-KK-ZP4. This treatment  
91 protocol demonstrated the highest antibody titres for all antigens (pZP, ZP3 and ZP4). The  
92 application of combined TT-KK-ZP3 and bRNase-KK-ZP4 formulated with Freund's  
93 adjuvants in pony mares [16] resulted in an ineffective contraceptive effect coupled with a  
94 poor anti-pZP antibody titre response. Interestingly in this study, this reZP formulation  
95 resulted in higher T-lymphocyte responses (both pZP-specific CD4<sup>+</sup> and CD8<sup>+</sup>) than was  
96 seen in the pZP treated mares [26]. This same formulation in donkeys was associated with  
97 ovarian suppression in 77.8% of treated animals and a contraceptive efficacy of 100%  
98 [17]. Cytotoxic T-cell involvement has been proposed as a cause of ovarian dysfunction  
99 subsequent to pZP-based vaccination [27].

100 Injection site reactions associated with vaccine formulations containing Freund's adjuvants  
101 are well established in laboratory animals [28]. In the horse, probably the most-frequently  
102 studied species, as far as pZP immunocontraception is concerned, until very recently,

**Commented [PMS1]:** Which? reZP or pZP or both?

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103 injection site reactions following the use of Freund's adjuvants were rarely reported. A recent  
104 study in pony mares investigating pZP and reZP [16] formulated with FCMA and FIA,  
105 reported injection site swelling and/or palpable changes in muscular density in over 95% of  
106 both treated and adjuvant control mares. Several developed overt sterile abscesses and this  
107 was observed more frequently in the reZP treated mares. The authors speculated that the  
108 higher frequency of abscesses may have been due to the presence of promiscuous T-cell  
109 epitopes in this formula. A similar study in donkey jennies, which also compared pZP and  
110 reZP formulated with Freund's adjuvants, produced similar injection site reactions in both  
111 treated and adjuvant control groups. Similarly, more severe reactions were observed in the  
112 reZP-treated group [17]. Bechert et al. also reported localised reactions varying in intensity  
113 and duration, including overt abscessation in mares treated with a pZP liposome mixture  
114 formulated with FCMA in an aqueous solution [13].

115 Whilst Freund's adjuvants with ZP-  
116 based vaccines are associated with high antibody titres and subsequent contraceptive  
117 efficacy [3], the identification of an appropriate alternative commercially-available adjuvant  
118 with a satisfactory safety profile is indicated. Coupled with these issues, reliance on the  
119 native-derived proteins for pZP vaccine formulations remains an obstacle to both efficient  
120 production (economical and immunogen purity) and its distribution and movement  
121 internationally [29, 30].

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123 The aims of this study were to identify a suitable non-Freund's adjuvant formulation for  
124 delivery of pZP proteins and to apply this formulation in a subsequent study to monitor  
125 antibody titres, injection site reactions and body temperature in mares following  
126 immunisation with either native pZP proteins, reZP proteins or combined pZP and reZP  
127 proteins.

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129 **Materials and Methods**

130 **Study 1**

131 **Subject selection, environment and management**

132 Fifteen male horses (geldings) of mixed-breed type were studied from February to May  
133 2016. Inclusion criteria were good physical health, adult status and normal body weight  
134 (range 306-458.5 kg). Horses were maintained at a single site at the South African Police  
135 Services Mounted Academy in Potchefstroom, North West Province, South Africa.

136 **Study design**

137 Recruited horses were assigned to one of five treatment groups in this randomised  
138 controlled study. Treatments and measurements were initiated in February (d=0), repeated  
139 in April (d=35) and final measurements were taken in May (d=70).

140 **Vaccine formulations**

141 The antigen used in each formulation was native pZP (Trumpeter Farms and Veterinary  
142 Service, Winters, California, USA) and the dose per treatment was 100 µg.

143 Addavax (n=3): per dose (primary and booster) 500 µL squalene-based oil-in-water nano  
144 emulsion adjuvant (AddaVax™, Invivogen, USA) was mixed with 500 µL phosphate  
145 buffered saline (PBS) containing the antigen.

146 Quil A (n=3): per dose 500 µg lyophilised purified saponin (Quil-A® Adjuvant, Invivogen,  
147 USA) reconstituted in 250 µL sterile water mixed with 500 µL PBS containing antigen and  
148 250 µL physiological saline.

149 Quil A & Poly (I:C) (n=3): per dose 500 µg purified saponin reconstituted in 250 µL sterile  
150 water was mixed with 250 µL PBS containing antigen and 500 µg Polyinosinic-polycytidylic  
151 acid – TLR-3-based adjuvant (Poly (I:C) HMW VacciGrade™, Invivogen, USA) in 500 µL  
152 sterile water.

153 Pet Gel A (n=3): per dose 100 µL polymeric adjuvant (10%; Montanide™ Pet Gel A, Seppic,  
154 France) was mixed with 500 µL PBS containing antigen and 400 µL physiological saline.

155 Pet Gel A & Poly (I:C) (n=3): per dose 100 µL Pet Gel A (10%) mixed with 250 µL PBS  
156 containing antigen, 500 µg Poly (I:C) in 500 µL sterile water and 150 µL physiological saline.

#### 157 ***Vaccine administration***

158 Formulations were prepared on site and volumes were standardised at 1 mL per treatment.  
159 Primary vaccinations were administered in February (d=0) and single boosters 35 days later  
160 (d=35). All vaccines were administered by deep intramuscular injection into the gluteal  
161 muscle mass. Boosters were administered into the contralateral musculature.

#### 162 ***Sample collection and observations.***

163 Blood samples were collected by jugular venipuncture at d=0, d=35 and d=70 for  
164 measurement of serum anti-pZP antibody titres. Samples were centrifuged and serum  
165 stored at -20° C until assayed. Prior to and for three days following treatment, safety and  
166 side effects were assessed. The injection sites were assessed subjectively by visual  
167 inspection and palpation for changes including heat and swelling and scored using a three  
168 point scale (category 0 = no reaction, 1 = palpable reaction, 2 = visible reaction with or  
169 without pain upon palpation). Body temperatures were measured *per rectum* using a digital  
170 thermometer (Kruuse, Denmark) and respiration and heart rates were recorded.

#### 171 ***Study 2***

##### 172 ***Subject selection, environment and management***

173 Thirty one mixed-breed horse mares (light body type: Arabian, Quarter Horse, Draught and  
174 Thoroughbred cross; age: 2-10 y) were studied from November 2016 to May 2017, during  
175 the physiological breeding season in the southern hemisphere. Inclusion criteria were  
176 oestrous cyclicity, non-pregnant status, good physical and reproductive health and no  
177 previous immunocontraceptive exposure. Mares were maintained on a single extensive

178 mountainous grassland site (3000 ha) in pre-existing groups. The study site was located  
179 near Underberg, KwaZulu-Natal Province, South Africa.

#### 180 ***Study design***

181 Recruited subjects were stratified by body condition scores (BCS 1-9) [31], parity and age  
182 and assigned to one of four treatment groups in this randomised controlled study.

183 Treatments and measurements were initiated in December (d=0) and repeated in January  
184 (d=35) and February (d=70) and further measurements were taken in March (d=105) and  
185 May (d=175).

#### 186 ***Antigens used***

187 Native pZP vaccine (Trumpeter Farms and Veterinary Service, Winters, California, USA)  
188 was prepared according to standard methods [2]. Recombinant ZP3 and ZP4 proteins  
189 containing epitopes of tetanus toxoid and bovine RNase (reZP; supplied by Biosciences,  
190 CSIR, South Africa) were expressed in *E. coli* according to Gupta et al. [23] with several  
191 modifications. Doses of antigen used per immunisation were 100 µg and 500 µg (250 µg  
192 ZP3 and 250 µg ZP4) for pZP and reZP, respectively.

#### 193 ***Vaccine formulations***

194 The antigens were formulated in 6% Pet Gel A and 500 µg Poly (I:C) and were lyophilised in  
195 multi-vials. The same formulation was used for adjuvant control group without addition of  
196 antigen.

#### 197 ***Vaccine administration***

198 Vaccines were reconstituted with sterile injection water immediately prior to administration to  
199 provide a treatment volume of 1 mL. All vaccines were administered by deep intramuscular  
200 injection into the gluteal muscle mass. Boosters were administered into the contralateral  
201 musculature.

202 Adjuvant control mares (n=8) were treated on d=35 with a booster on d=70.



203 The pZP only mares (n=7) were treated on d=35 with a booster on d=70.

204 The reZP only mares (n=8) were treated on d=0, d=35 and d=70.

205 The pZP & reZP mares (n=8) were treated on d=35 and d=70.

#### 206 **Sample collection and observations**

207 Blood samples from all mares were collected by jugular venipuncture at d=0, d=35 d=70,  
208 d=105 and d=175 for measurement of serum anti-pZP antibody titres. Samples were  
209 centrifuged and serum stored at -20° C until assayed. Prior to and for seven days following  
210 treatment, safety and side effects (injection site reactions and body temperature) were  
211 assessed as described in Study 1.

#### 212 **Anti-pZP and reZP antibody titre assays (Study 1 and 2)**

213 Anti-ZP antibody response was measured by enzyme immunoassay (EIA), using a  
214 modification of a method previously described [16]. All tested sera were assayed in duplicate  
215 and expressed as a proportion of a positive reference standard at the same dilution rate. For  
216 the anti-pZP antibody assay (mare trial) the positive reference standard consisted of pooled  
217 sera from the pZP only treatment group at time of assumed maximal titre (d=105). For the  
218 anti-reZP antibody assay (mare trial) and anti-pZP antibody assay (gelding trail) the positive  
219 reference standard consisted of previously stored pooled sera from mares treated with a  
220 pZP vaccine containing Freund's adjuvants [15]. Ninety six-well plates (Nunc Immunoplate  
221 F76 Maxisorp, South Africa) were incubated at 2-8 °C for 16 h with 1 µg (pZP or reZP (0.5  
222 µg ZP3 and 0.5 µg ZP4)) in 100 µL coating buffer (2.94% NaHCO<sub>3</sub>, 1.59% Na<sub>2</sub>CO<sub>3</sub>, pH 9.6)  
223 per well. Plates were washed with PBS containing 0.05% Tween 20 and then blocked with  
224 0.03% BSA in PBS for 16 h at 2°-8 °C. Plates were then incubated with serial dilutions of  
225 standard and test serum samples at 37 °C for 1 h (anti-pZP antibody assay (gelding) 1:1000  
226 to 1:16000 for test samples and 1:1000 to 1:64,000 for positive reference serum; anti-pZP  
227 antibody assay (mare) 1:250 to 1:4000 for test samples and 1:250 to 1:16,000 for positive  
228 reference serum; anti-reZP antibody assay 1:8000 to 1:128000 for test samples and 1:4000

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229 to 1:512,000 for positive reference serum). Wells containing PBS were used as blanks  
230 (negative controls). After washing, antibodies were detected by incubating plates with  
231 recombinant protein G-horseradish peroxidase (LTC Tech South Africa, Johannesburg,  
232 South Africa) at 37 °C for 1 h. After further washing, plates were developed with trimethylene  
233 blue (SureBlue™). The reaction was stopped by adding 50 µL of 2 mol/L H<sub>2</sub>SO<sub>4</sub> per well.  
234 Absorbance at 450 nm was measured using a microplate photometer (Multiskan™ FC).  
235 Antibody response was measured as the mean sample absorbance (minus blank) expressed  
236 as a proportion of the mean absorbance (minus blank) of the positive reference standard at  
237 the same dilution for each plate. The overall proportion positive was calculated as the  
238 average value over three dilutions. Intra- and inter-assay coefficients of variation were 9.07%  
239 and 16.32% for the anti-pZP antibody (gelding), 4.02% and 10.83% for the anti-pZP antibody  
240 (mare) and 5.72% and 7.79% for the anti-reZP antibody assays, respectively.

Commented [PMS8]: Study 1

Commented [PMS9]: Study 2

#### 241 **Data analyses (Study 1 and 2)**

242 Data were assessed for normality through the plotting of histograms, calculation of  
243 descriptive statistics and the Shapiro-Wilk test for normality.

244 Quantitative data were analysed using mixed effect linear regression. For statistical  
245 interrogation of group differences of categorical data, injection site reactions were  
246 reclassified as either present or absent. Similarly, elevated body temperatures were  
247 reclassified as  $\geq 39$  °C or  $< 39$  °C and were compared among treatment groups using mixed  
248 effects logistic regression (Study 2). Regression models included fixed effect terms for  
249 treatment group, sampling time (categorical with three/five levels) and a group by time  
250 interaction. Horse was included as a random effect and a first-order autoregressive  
251 correlation structure was used to account for repeated sampling. *Post-hoc* tests in the  
252 mixed-effects models were adjusted using the least significant differences (LSD) method.  
253 Anti-ZP antibody titres at each sampling time were compared among groups using one-way  
254 ANOVA with *post-hoc* multiple comparisons adjusted using Bonferroni correction of P values  
255 (Study 2). Pairwise correlations for anti-ZP antibody titres and all other measurements were

256 assessed using Spearman's rho or Pearson's correlation coefficient as applicable (Study 2).  
257 Statistical testing was performed using commercially available software (IBM SPSS  
258 Statistics Version 25) and significance was set at  $P \leq 0.05$ .

259

## 260 **Results**

### 261 **Study 1**

#### 262 ***Anti-pZP antibody titre***

263 Treatment, time and the treatment by time interaction all had a significant effect on anti-pZP  
264 antibody titres collected over the entire study (All  $P < 0.001$ ) (Figure 1). Overall the anti-pZP  
265 antibody titres of the Addavax group were significantly lower than the Quil A ( $P = 0.028$ ), Quil  
266 A & Poly (I:C) ( $P = 0.011$ ), Pet Gel A ( $P < 0.001$ ) and Pet Gel A & Poly (I:C) ( $P < 0.001$ ) treated  
267 groups. The Quil A and Quil A & Poly (I:C) treated groups were significantly lower than the  
268 Pet Gel A ( $P = 0.008$  and  $P = 0.020$ , respectively) and Pet Gel A & Poly (I:C) groups ( $P = 0.007$   
269 and  $P = 0.017$ , respectively). No differences were evident between the Quil A and Quil A &  
270 Poly (I:C) treated horses ( $P = 0.591$ ) and the Pet Gel A and Pet Gel A & Poly (I:C) treated  
271 groups ( $P = 0.933$ ).

#### 272 ***Injection site reactions, body temperature, respiration rate and heart rate***

273 Following the primary vaccination there were no notable increases in body temperature.  
274 Following the booster however, increases in temperature were observed in the Quil A & Poly  
275 (I:C) and both Pet Gel A groups. The highest body temperatures were measured in the Pet  
276 Gel A & Poly (I:C) treatment group. By the second day post-treatment all temperatures had  
277 returned to normal levels with the exception of the Pet Gel A & Poly (I:C) treated group  
278 which returned to normal levels three days post-treatment. An increase in localised swelling  
279 was seen in the Quil A group and the two Pet Gel A groups following the primary treatment.  
280 The booster was associated with noticeable swellings in all groups except the Addavax

281 group. The two Pet Gel A groups displayed more injection site reactions, these however,  
282 were no longer visible within a week of treatment administration.

283 Respiratory rate increases were not evident following administration of any formulation but  
284 rather seemed to increase in association with increased environmental temperatures  
285 (environmental temperature reached a 39 °C maximum during the primary treatment  
286 administration on February 29<sup>th</sup> 2016 and subsequently a 26 °C maximum on the first day of  
287 the booster treatment administration on April 4<sup>th</sup> 2016). Heart rates remained consistent in all  
288 groups during both observation periods

## 289 **Study 2**

### 290 ***Anti-pZP antibody titre***

291 Treatment, time and the treatment by time interaction all had a significant effect on anti-pZP  
292 antibody titres (all  $P < 0.001$ ). Overall, anti-pZP antibody titres changed significantly at each  
293 time point from  $d=0$  until  $d=75$  ( $P < 0.001$ ), steadily increasing until  $d=105$  followed by a  
294 decline at  $d=175$  (Figure 2). All ZP treatment group titres assessed at the time of assumed  
295 maximal antibody titres ( $d=105$ ) differed significantly to the control group (all  $P < 0.001$ ). No  
296 significant differences were measured between pZP only and reZP only mares but pZP only  
297 and pZP & reZP treated mares' titres differed, with lower concentrations in pZP & reZP  
298 treated mares ( $P < 0.001$ ).

### 299 ***Anti-reZP antibody titre***

300 Treatment, time and the treatment by time interaction all had a significant effect on anti-reZP  
301 antibody titres (all  $P < 0.001$ ). Overall, anti-reZP antibody titres changed significantly at each  
302 time point from  $d=0$  until  $d=175$  ( $P < 0.001$ ), following a similar temporal pattern to that for  
303 anti-pZP antibody titres (Figure 3). Again, all treatment group titres assessed at the time of  
304 assumed maximal antibody titres ( $d=105$ ) differed significantly to the control group (all  
305  $P < 0.001$ ). In this instance the reZP only treated mares showed significantly higher titres than

306 both pZP only and pZP & reZP treated mares ( $P < 0.001$ ). No such differences were seen  
307 between pZP only and pZP & reZP treated mares.

### 308 ***Injection site reactions and body temperature***

309 Injection site reactions occurred in 22%, 55%, 46% and 47% of examinations in adjuvant  
310 control, pZP only, reZP only and pZP & reZP treatment groups, respectively. Elevated rectal  
311 temperatures ( $\geq 38.4$  °C) occurred in 25%, 25%, 33% and 29% of examinations in adjuvant  
312 control, pZP only, reZP only and pZP & reZP treatment groups, respectively.

313 Treatment, time and the treatment by time interaction all had a significant effect on the  
314 incidence of injection site reactions (all  $P < 0.001$ ). Considerably more injection site reactions  
315 occurred in the reZP only mares compared to both the adjuvant control and pZP only mares  
316 (both  $P < 0.05$ ). No other significant treatment group differences were seen. Injection site  
317 reactions occurred with increased frequency with each subsequent treatment administration  
318 ( $P < 0.05$ ). All reactions were both mild (category 1=97.5%) and transient, resolving within  
319 seven days of treatment administration. A similar pattern was observed for the post-  
320 treatment occurrence of elevated temperatures. Treatment, time and the treatment by time  
321 interaction all had significant effects (all  $P < 0.001$ ), with a higher incidence of elevated  
322 temperature with each subsequent treatment administration ( $P < 0.05$ ), however all had  
323 returned to within normal limits within seven days. No significant differences were seen  
324 between individual treatment groups.

### 325 ***Pairwise correlations***

326 Anti-pZP and anti-reZP antibody responses were not significantly correlated to either mare  
327 age ( $P = 0.975$ ,  $P = 0.971$ ) or BCS ( $P = 0.641$ ,  $P = 0.768$ ). A concurrent study [32] had  
328 monitored ovarian function subsequent to immunocontraception *via* clinical observation and  
329 measurement of serum progesterone and anti-Müllerian hormone (AMH). A significant  
330 negative correlation was seen with anti-pZP antibody titres and both ovarian activity ( $\rho = -$   
331  $0.381$ ,  $P < 0.001$ ) and serum AMH concentrations ( $\rho = -0.271$ ,  $P = 0.002$ ). Similarly, a negative

332 correlation was seen for anti-reZP antibody titres with both ovarian activity ( $p=-0.412$ ,  
333  $P<0.001$ ) and AMH ( $p=-0.192$ ,  $P<0.05$ ).

334

### 335 ***Discussion***

336 The adjuvant combinations chosen for investigation were selected for their antigen carrying  
337 function (Pet Gel A), TLR agonist action (Addavax: TLR-4 and TLR-7, Poly (I:C): TLR-3) and  
338 documented effective cell and non-cell mediated potentiation (Quil A, Pet Gel A).

339 Study 1 showed that both Pet Gel A groups performed better than the other groups in  
340 invoking anti-ZP antibody titres. Furthermore, in combination with Poly (I:C) this increased  
341 the antibody response to native pZP. There was no significant difference in titres achieved  
342 between the two Pet Gel A groups, but T-cell proliferation analysis notwithstanding, further  
343 investigations of the combined Pet Gel A and Poly (I:C) were indicated.

344 Subsequent discussions with the manufacturers (Seppic, France) suggested that Pet Gel A  
345 concentration could be reduced from the 10% to a 6% polymeric preparation without  
346 affecting overall antibody response. The side effects observed with both Pet Gel A  
347 formulations were confined to rapidly resolving local swelling and temperature reactions.  
348 These two variables (measuring rectal temperature and subjective assessment of injection  
349 sites), unlike heart and respiratory rate measurements, proved most informative in  
350 monitoring post-treatment reactions.

351 The results of this preparatory study informed the design and formulations used in the  
352 subsequent Study 2.

353 Study 2 is the first to describe the immune response of horses following vaccination with pZP  
354 and reZP proteins formulated with non-Freund's adjuvants. In this study, anti-pZP antibody  
355 titres following vaccination with native pZP, reZP or pZP & reZP formulated with a  
356 combination adjuvant of Pet Gel A and Poly (I:C) showed temporal changes similar to

357 previous reports in mares vaccinated with pZP formulated with Freund's adjuvants [16].  
358 Furthermore, there was no difference in anti-pZP titres in mares treated with any of the pZP  
359 only, reZP only or pZP & reZP formulations. Previously, this research group reported a poor  
360 anti-pZP antibody response in pony mares following reZP treatment [16]. In the current  
361 study, higher anti-reZP antibody titres were seen in the reZP only treated mares than those  
362 receiving the other ZP treatments. The reZP vaccine used in the earlier study was sourced  
363 from a different laboratory and manufactured differently, formulated with Freund's adjuvant  
364 and only a single booster treatment was administered. It has been previously asserted that  
365 70-80% of the pZP antigen is likely accounted for by ZP3 and when injected, the mare may  
366 produce substantially more antibodies against ZP3 than the other proteins. The pZP only  
367 mares in the current study supported this assertion by producing high anti-reZP antibody  
368 titres [33]. The higher anti-reZP titres in the reZP only group may also be a feature of the  
369 additional booster or associated with the presence of TT and BRNase epitopes.

370 Reports of undesirable side effects vary with the use of Freund's adjuvants for ZP based  
371 immunocontraception. This may be at least partially due to the limitations associated with  
372 clinical monitoring in feral horse populations rather than their absence. The current study  
373 monitored injection sites closely and showed minimal local reactivity and all reactions and  
374 elevated body temperatures were mild and transient in nature.

375 The commercial and regulatory limitations of both native pZP immunocontraceptive vaccines  
376 and Freund's adjuvants may be overcome through the use of reZP proteins with a  
377 commercially available polyacrylic polymer in water adjuvant that provides good antigen  
378 delivery (Montanide™ Pet Gel A) [34, 35] and a TLR-3 agonist (Poly(I:C)) [36].

379 An interesting, though not unprecedented, finding [3] was the highly significant correlation of  
380 both anti-pZP and -reZP antibody titres with ovarian activity. However, the contraceptive  
381 efficacy of the formulations used in this study requires further investigation. Additionally, the  
382 cell mediated immune response following the use of these novel vaccine formulations should  
383 be assessed.

384 In conclusion, this is the first application of a reZP vaccine evoking a similar antibody titre  
385 response to a native pZP vaccine in mares. Furthermore, incorporation of a novel non-  
386 Freund's adjuvant provided an alternative effective formulation for ZP-based  
387 immunocontraception.

388

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393

#### 394 ***Ethical animal research***

395 These studies complied with ARRIVE guidelines and were approved by the University of  
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397

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403

#### 404 ***Declarations of interest:***

405 None

406



407 **Authorship**

408 M.B. Nolan and M.L. Schulman contributed to the study design, data collection, data  
409 analysis and interpretation, preparation and final approval of the manuscript. H.J  
410 Bertschinger contributed to the study design, data analysis and interpretation, preparation  
411 and final approval of the manuscript. A.E. Botha contributed to the data collection and final  
412 approval of the manuscript. A.M. Human contributed to sample analyses, pZP preparation  
413 and final approval of the manuscript. R. Roth and M. Crampton contributed to reZP  
414 preparation and final approval of the manuscript. All authors attest they meet the ICMJE  
415 criteria for authorship.

416

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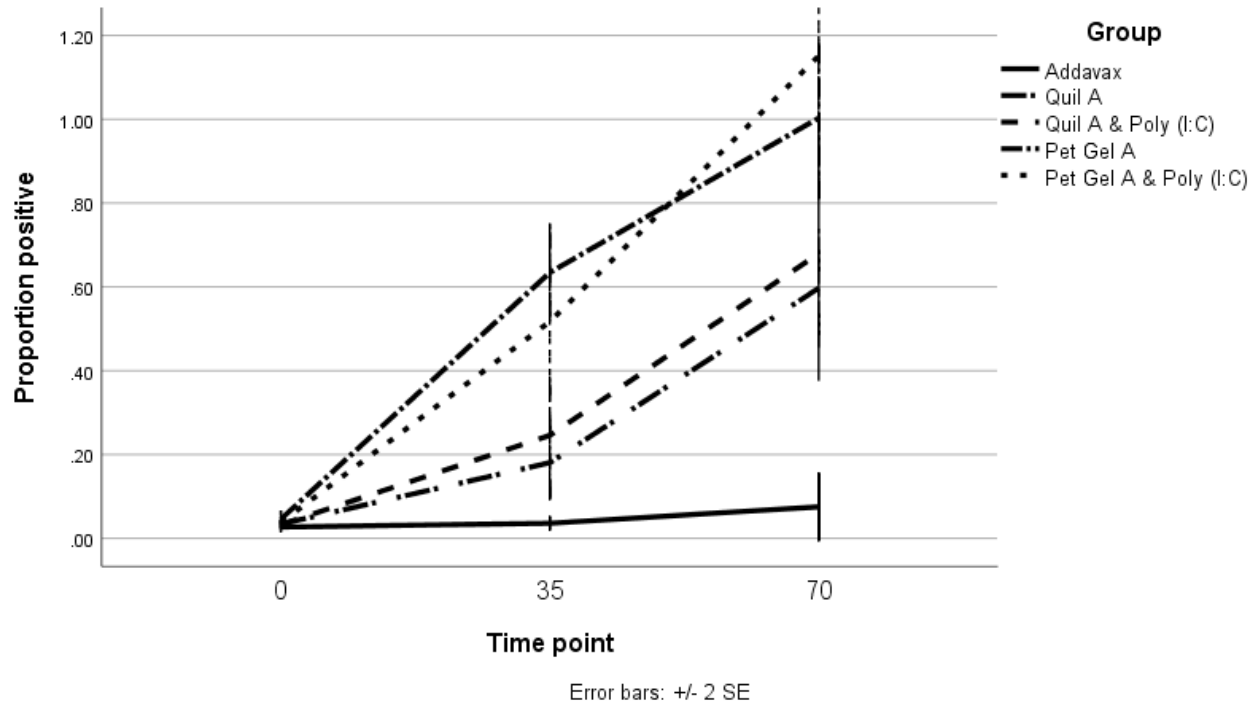
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515

516 Figure 1: Study 1 (geldings) mean anti-pZP antibody response expressed as a proportion of the positive standard (with s.e.) for all treatment  
517 groups (Addavax: n=3; Quil A: n=3; Quil A & Poly (I:C): n=3; Pet Gel A: n=3; Pet Gel A & Poly (I:C): n=3) at successive time points 0 (d=0), 35  
518 (d=35) and 70 (d=70)

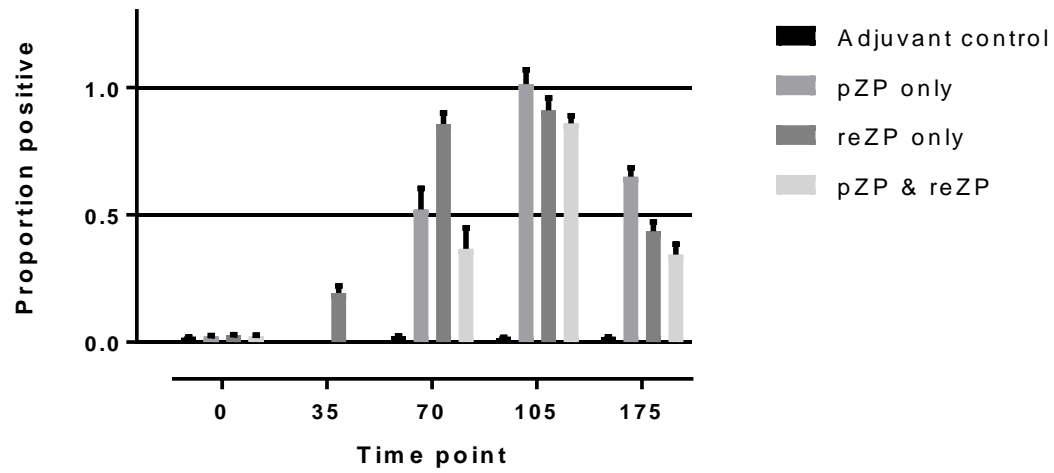
Mean anti-pZP antibody response expressed as a proportion of the positive standard (with s.e.) for each treatment group at successive time points.



519

520 Figure 2: Study 2 (mares) mean anti-pZP antibody response expressed as a proportion of the positive standard (with s.e.) for all treatment  
521 groups (Adjuvant control: n=8; pZP only: n=7; reZP only: n=8; pZP & reZP: n=8) at successive time points 0 (d=0), 35 (d=35; reZP only), 70  
522 (d=70), 105 (d=105) and 175 (d=175)

Mean anti-pZP antibody response expressed as a proportion of the positive standard (with s.e.) for each treatment group at successive time points



523

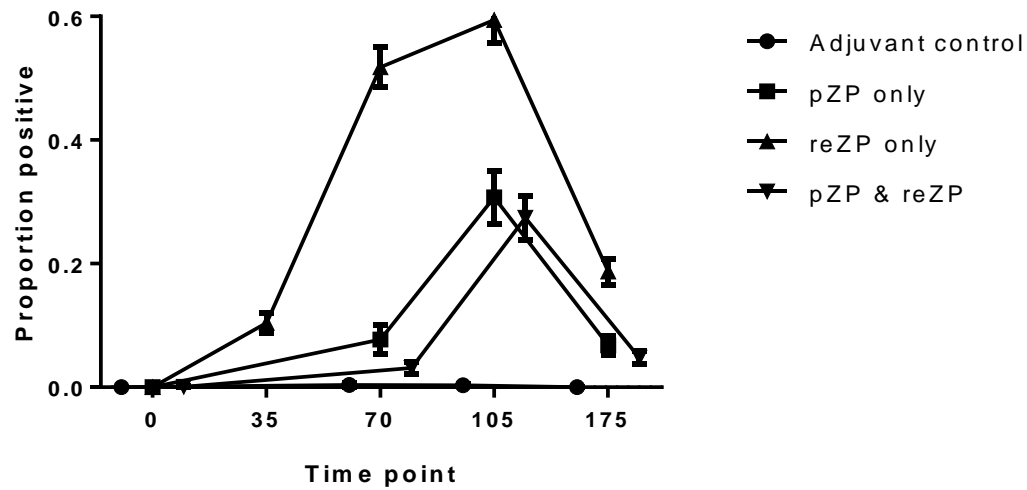
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527 Figure 3: Study 2 (mares) mean anti-reZP antibody response expressed as a proportion of the positive standard (with s.e.) for all treatment  
528 groups (Adjuvant control: n=8; pZP only: n=7; reZP only: n=8; pZP & reZP only: n=8) at successive time points 0 (d=0), 35 (d=35; reZP only),  
529 70 (d=70), 105 (d=105) and 175 (d=175)

Mean anti-reZP antibody response expressed as a proportion of the positive standard (with s.e.) for each treatment group at successive time points



530

531



